

**Master Thesis**

**Dennis Camilo**

**Comparing Aircraft Wake Turbulence  
Categories with Induced Power Calculation**

*Fakultät Technik und Informatik*

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*Faculty of Engineering and Computer Science*

*Department of Automotive and  
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**Comparing Aircraft Wake Turbulence  
Categories with Induced Power Calculation**

Master Thesis submitted as part of the master examination

in the degree course Aeronautical Engineering  
at the Department of Automotive and Aeronautical Engineering  
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**Name of student**

Dennis Camilo

**Title of the report**

Comparing Aircraft Wake Turbulence Categories with Induced Power Calculation

**Keywords (LCSH)**

Aeronautics, Airplanes, Aerospace engineering, Airplanes--Performance, Aerodynamics, Drag (Aerodynamics), Airplane--Wings, Vortex shedding

**Abstract**

**Purpose** – Definition of new Wake Turbulence Categories (WTC) based on the calculation of induced power of aircraft on approach. This requires the parameters aircraft mass, span, approach speed, air density, and Oswald factor (calculated from wing aspect ratio, wing sweep, wing taper ratio, winglet height, and fuselage diameter). This is considerably more detailed than other metrics based on aircraft mass only or aircraft mass together with wing span.

**Methodology** – 89 different aircraft are selected which vary significantly in their parameters. Parameters are determined from the Internet; Oswald factor and induced power is calculated. Suitable boundaries of the new WTC (CAT I, II, III, IV) are determined based on induced power. Aircraft with their new categories are presented and compared to FAA, EUROCONTROL, CAA and ICAO WTCs.

**Findings** – Induced power can be derived not only from induced drag (as a function of lift), but also from the energy in the vortex. When compared to FAA, EUROCONTROL, CAA and ICAO WTC, the new Wake Turbulence Categories seems to offer categorization with more consistency.

**Research Limitations** – New (reduced) wake separation minima are not considered. Physics based separation minima would need a double classification of each aircraft: a) classification related to wake vortex generation as done here and b) classification related to rolling resistance. Wake separation minima would then be allocated from a pairwise comparison.

**Practical Implications** – Physics based WTC may categorize more reliable, which increases safety when applied to given separation minima.

**Originality** – Induced power has not been used as metric for wake turbulence before.

**Name des Studierenden**

Dennis Camilo

**Thema der Masterarbeit**

Vergleich von Wirbelschleppen-Kategorien von Flugzeugen mit der Berechnung der induzierten Leistung

**Stichworte (GND)**

Luftfahrt, Luftfahrzeug, Flugmechanik, Aerodynamik, Passagierflugzeug, Wirbelschleppe, Leistung, Flügel, Spannweite

**Kurzreferat**

**Zweck** – Definition neuer Wake Turbulence Categories (WTC) basierend auf der Berechnung der induzierten Leistung von Flugzeugen beim Anflug. Dazu werden die Parameter Flugzeugmasse, Spannweite, Anfluggeschwindigkeit, Luftdichte und Oswald-Faktor (berechnet aus Flügelstreckung, Flügelpfeilung, Flügelzuspitzung, Winglethöhe und Rumpfdurchmesser) benötigt. Dies ist erheblich detaillierter als andere Metriken, die nur auf der Flugzeugmasse oder der Flugzeugmasse zusammen mit der Spannweite basieren.

**Methodik** – Es werden 89 verschiedene Flugzeuge ausgewählt, die sich in ihren Parametern stark unterscheiden. Parameter werden aus dem Internet ermittelt, Oswaldfaktor und induzierte Leistung werden berechnet. Geeignete Grenzen der neuen WTC (CAT I, II, III, IV) werden basierend auf der induzierten Leistung bestimmt. Flugzeuge mit ihren neuen Kategorien werden vorgestellt und mit WTCs von FAA, EUROCONTROL, CAA und ICAO verglichen.

**Ergebnisse** – Die induzierte Leistung kann nicht nur aus dem induzierten Widerstand (als Funktion des Auftriebs), sondern auch aus der Energie im Wirbel abgeleitet werden. Im Vergleich zu FAA, EUROCONTROL, CAA und ICAO WTC scheinen die neuen Wake Turbulence-Kategorien eine konsistentere Kategorisierung zu bieten.

**Grenzen der Anwendbarkeit** – Eine neue Staffelung mit reduzierten Abständen wird nicht betrachtet. Eine physikalisch basierte Staffelung würden eine doppelte Klassifikation jedes Flugzeugs erfordern: a) eine Klassifikation bezogen auf Wirbelschleppenerzeugung wie hier durchgeführt und b) eine Klassifikation bezogen auf dem Beharrungsvermögen hinsichtlich des Rollens um die X-Achse. Eine Staffelung würde sich dann aus einem paarweisen Vergleich ergeben.

**Bedeutung für die Praxis** – WTCs auf Basis der Physik können eine zuverlässigere Einteilung bringen. Das erhöht die Sicherheit, wenn die Einteilung auf gegebenen Mindestabständen beruht.

**Originalität** – Induzierte Leistung wurde bisher nicht als Maß für Wirbelschleppen verwendet.

DEPARTMENT OF AUTOMOTIVE AND AERONAUTICAL ENGINEERING

## Comparing Aircraft Wake Turbulence Categories with Induced Power Calculation

Task for a *Master Thesis*

### Background

Aircraft produce [wake turbulence](#) or [wake vortex turbulence](#). The whole topic is [covered here with many articles](#). Depending on their vortex strength, aircraft are put in categories. The criteria for the categories vary. [ICAO goes by aircraft mass](#) and lists [aircraft by category](#). [EUROCONTROL goes by aircraft mass and wing span](#) (Figure 6) and also lists [aircraft by category](#) (Table 2). Also, the [FAA lists aircraft by category](#) (Table A-1). Flight mechanics on the topic can be quite simple. The vortex strength can be calculated with what we call "induced power". I have explained it [here](#).

### Task

Your task is

- to perform a small systematic literature review on the term "induced power" (you may not find much),
- to select a number of aircraft that are sufficiently different in maximum take-off mass, wing span and other characteristics (include in your list also aircraft that are known to have special characteristics like B757 and A380, include in your list aircraft that are in the list of ICAO, FAA, and EUROCONTROL),
- to determine the relevant parameters for your aircraft (as they are necessary to calculate "induced power"), e.g. maximum landing mass, wing span, [approach speed](#), and [estimate the Oswald factor](#),
- to calculate "induced Power" of the selected aircraft on approach,
- to compare the calculated "induced Power" with the official categories from ICAO, FAA, and EUROCONTROL,
- to draw your conclusions,
- to define your own Wake Turbulence Categories (WTC).

The report has to be written in English based on German or international standards on report writing.

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## List of Symbols

|             |                                     |
|-------------|-------------------------------------|
| $A$         | aspect ratio                        |
| $b$         | wing span                           |
| $C_{D,0}$   | zero drag coefficient               |
| $C_{fe}$    | skin friction coefficient           |
| $C_L$       | lift coefficient                    |
| $D_i$       | induced drag                        |
| $d_F$       | fuselage diameter                   |
| $E_k$       | kinetic energy per unit length      |
| $e_{theo}$  | theoretical Oswald factor           |
| $e$         | Oswald factor                       |
| $g$         | gravitational acceleration          |
| $h$         | winglet height                      |
| $I_x$       | moment of inertia about the x-axis  |
| $k_{e,D_0}$ | correction factor (viscous drag)    |
| $k_{e,F}$   | correction factor (fuselage)        |
| $k_{e,M}$   | correction factor (compressibility) |
| $k_{e,WL}$  | correction factor (winglets)        |
| $L_R$       | rolling moment                      |
| $L$         | lift force                          |
| $m$         | aircraft mass                       |
| $P_{wake}$  | induced power                       |
| $\dot{p}$   | roll acceleration                   |
| $r_c$       | vortex core radius                  |
| $S_W$       | reference wing area                 |
| $S_{wet}$   | wetted aircraft area                |
| $S$         | wing area                           |
| $V$         | airspeed                            |

## Greek Symbols

|             |                     |
|-------------|---------------------|
| $\phi_{25}$ | quarter chord sweep |
| $\Gamma$    | circulation         |
| $\lambda$   | taper ratio         |
| $\rho$      | air density         |

## List of Abbreviations

|       |                                                                                                    |
|-------|----------------------------------------------------------------------------------------------------|
| AVOSS | Aircraft Vortex Spacing System                                                                     |
| CAA   | Civil Aviation Authority                                                                           |
| FAA   | Federal Aviation Administration                                                                    |
| HAW   | Hochschule für Angewandte Wissenschaften Hamburg (Hamburg University of Applied Sciences)          |
| ICAO  | International Civil Aviation Organization                                                          |
| MTOW  | Maximum Takeoff Weight                                                                             |
| MW    | Megawatt                                                                                           |
| NASA  | National Aeronautics and Space Administration                                                      |
| PCDL  | Pulsed Coherent Doppler Lidar                                                                      |
| WSVBS | Wirbelschleppen-Vorhersage- und -Beobachtungssystem (Wake Vortex Prediction and Monitoring System) |
| WTC   | Wake Turbulence Category                                                                           |

# 1 Introduction

## 1.1 Motivation

One of the biggest challenges for aviation in the upcoming years will certainly be the increasing number of passengers and, consequently, the increasing number of aircraft movements. Airspace and, above all, airport capacities are limited.

At the same time, aircraft must maintain a certain separation to avoid encountering wake vortices from aircraft flying ahead, which in the worst case can endanger flight safety. Wake vortices are an unavoidable consequence of an aircraft generating lift and must thus always be considered.

The challenge therefore is to categorize aircraft into wake turbulence categories as precisely as feasible to enable as many aircraft movements as possible, but at the same time to ensure that flight safety is always the utmost priority.

The criteria for categorizing aircraft wake turbulence differ. Most commonly, aircraft mass and wingspan are considered to classify aircraft into wake turbulence categories. In this thesis the objective is to use other variables related to flight mechanics and aircraft design to calculate induced power and to categorize aircraft into new wake turbulence categories based on these calculations.

With improved categorization, minimum separation distances can be optimized in the ideal case, allowing the number of flight movements to be increased. However, this thesis only aims to improve wake turbulence categorization and does not address the reduction of wake separation minima.

## 1.2 Title Terminology

The title of this thesis is “Comparing Aircraft Wake Turbulence Categories with Induced Power Calculation”. Following is a definition of each of the terms found in the title.

### **Comparison**

Longman 2022a defines the term comparison as follows:

*The process of comparing two or more people or things.*

**Aircraft**

The term aircraft is defined in Longman 2022b as follows:

*A plane or other vehicle that can fly.*

**Wake Turbulence**

SKYbrary 2022b defines wake turbulence as follows:

*Wake ... Turbulence is defined as turbulence which is generated by the passage of an aircraft in flight.*

**Categories**

In Merriam-Webster 2022 the term categories is defined as follows:

*Any of several fundamental and distinct classes to which entities or concepts belong.*

**Induced Power**

In Scholz 2022 the term induced power is defined as follows:

*The power an aircraft continually contributes to its wake vortex.*

**Calculation**

Longman 2022c defines the term calculation as follows:

*When you use numbers in order to find out an amount, price, or value.*

## **1.3 Objectives**

The objective of this thesis is to define new Wake Turbulence Categories based on induced power calculation and compare them to the categories which are used by EUROCONTROL, FAA, CAA, and the ICAO to classify wake turbulence.

For this purpose, the induced power of several aircraft that vary significantly in mass, wingspan and other characteristics will be calculated. Based on the results of these calculations new Wake Turbulence Categories will be defined and compared to conventional Wake Turbulence Categories.

## 1.4 Literature Review

One important reference source is the master's thesis from Liu 2007. Information about wake encounter scenarios, parameters affecting the wake encounter and vortex models have been obtained from this thesis.

The paper Breitsamter 2010 was used to obtain information about the generation of wake vortices, encounter scenarios and the stages of wake vortex lifespan.

The equation for the calculation of induced power is derived from Scholz 2022. The equations and methods for the estimation of the Oswald factor are obtained from Nita 2012.

Various sources were used for the required input parameters for the calculations in this thesis, which are indicated in the Excel file containing the calculations.

## 1.5 Structure

This thesis consists of seven chapters with the following structure:

- Chapter 2** A review of the research on wake vortices is presented in this chapter.
- Chapter 3** This chapter explains the theoretical basics of wake turbulence. It is addressed how wake turbulence is generated, and which factors influence a wake encounter. Also, an insight is given into wake turbulence classification systems and vortex models.
- Chapter 4** In this chapter the methodology for the calculation of induced power is explained.
- Chapter 5** The results of the induced power calculation are presented in this chapter. The new wake turbulence categories defined based on this calculation are then being compared to conventional wake turbulence classification systems.
- Chapter 6** The main results of this thesis are summarized and concluded.
- Chapter 7** This chapter presents recommendations for further research and another possible categorization for wake turbulence based on the induced power calculation.

Additional research data for this thesis is separately available at Harvard Dataverse which can be accessed here: <https://doi.org/10.7910/DVN/JC31A0>.

## 2 Literature Review

For the literature research within this thesis, the search term “induced power” was initially used. The only noteworthy literature found through this search is Anderson 1999 which explains the fundamentals of induced power. Apart from this paper the search did not provide any significant literature related to wake turbulence; therefore, the search was expanded to include the search term “wake vortex”. The search for wake vortex leads to extensive literature resources on wake vortex research, which are presented below.

A significant research subject within wake vortex research covers the generation and dissipation mechanisms of wake vortices. In Rossow 1999 a comprehensive overview of research on wake vortex generation and dissipation mechanisms by conducting numerous experiments and observations is presented.

In Holzäpfel 2003b a probabilistic two-phase wake vortex decay model (P2P) is proposed which predicts the behavior of wake vortices depending on environmental and aircraft parameters. In another paper Holzäpfel 2003c studies wake vortex evolution and decay mechanisms in various atmospheric conditions. This includes the research on the turbulent decay of wake vortices in thermally stably stratified environments in Holzäpfel 2001.

Another research subject addresses the topic of wake encounters and the safety assessment of wake encounters for following aircraft which is covered in Rossow 1999 as well. In Bienik 2007 different wake encounter scenarios, which are defined by using various parameters, are analyzed. Speijker 2007 also analyzes wake encounters and in addition provides a safety assessment for aircraft encountering wake turbulence. In Liu 2007 wake encounters are also being analyzed using a self-programmed code based on the Vortex Lattice Method (VLM) to calculate the rolling moment induced on an aircraft flying through the wake of another aircraft.

Furthermore, another subject within wake vortex research addresses the prediction and monitoring of wake vortices. In Holzäpfel 2009 the wake vortex prediction and monitoring system WSVBS is presented which aims to increase airport capacity with closely spaced parallel runways by adjusting aircraft separations depending on environmental conditions. In Gerz 2009 this wake vortex prediction system is applied in practice on the example of Frankfurt Airport. Another wake vortex prediction system called Aircraft Vortex Spacing System (AVOSS) was developed by NASA and is described in Robins 2002. Like WSVBS, AVOSS aims to determine safe aircraft separations based on actual environmental and vortex-detection data.

In order to establish a wake vortex prediction and monitoring system it is necessary to obtain valid wake-detection data. In Holzäpfel 2003a methods to detect wake vortices are presented using Lidar. In Liu 2021 a pulsed coherent Doppler lidar (PCDL) is used to observe wake vortex evolution under crosswind conditions.

With ever advancing research, continuous efforts are being made to reduce wake separation minima with the objective of enabling as many aircraft movements as possible. Pan 2022 analyses to which extent wake separation can be reduced and how the reduction of separation effects the safety of succeeding aircraft encountering the wake turbulence.

It is also important to mention the specialty regarding the Wake Turbulence Category of the A380 discussed in Scholz 2022. Due to its large weight, the A380 is assigned by ICAO to the specially created WTC Super which is explained in Section 3.5.1. Following aircraft must therefore maintain a greater separation distance compared to aircraft in the WTC Heavy. Before the A380 had its first flight, there were efforts by various stakeholders, like airlines, pilots, or airports, to ensure that the A380 would be assigned to the existing WTC Heavy. An assignment to WTC Super results in a decrease of an airport's approach capacity due to the larger separation. The expected advantage of being able to fill slots with a maximum number of passengers is therefore relativized by this classification. Within this thesis it shall be discussed how the decision of ICAO to define a new WTC for the A380 is to be evaluated.

Another notable specialty is the classification of the B757 into a Wake Turbulence Category. According to the ICAO categorization, the WTC Medium is assigned. However, explained in SKYbrary 2022a, some states use the WTC Heavy for the B757 being the only narrowbody aircraft with this classification. The FAA also has a separate WTC category for the B757. Therefore, also evaluated in this thesis is the extent to which the different treatment of the B757 is appropriate.

The literature review proves that in recent years substantial research has been conducted and is still being done on the subject of wake vortices. There is a good understanding of the generation and dissipation mechanisms of wake vortices. It is also possible to provide precise assessments regarding the hazards of wake encounters. Through wake-detection and utilization of real-time weather data wake vortices can be predicted and monitored extensively. Despite this far advanced research, the categorization of aircraft into Wake Turbulence Categories, which is covered in the next chapter, is rather rudimentary. The objective of this thesis will therefore be categorizing aircraft into WTC more precisely, considering other variables than just the aircraft mass or wing span.

### 3 Theoretical Basics

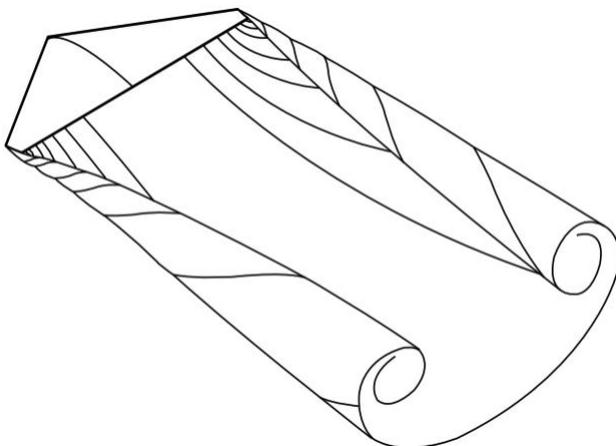
Wake turbulence is defined in SKYbrary 2022b as disturbance in the atmosphere which is generated by the passage of an aircraft in flight. This turbulence in the wake of an aircraft in flight is principally caused by wing tip vortices. In the following section, the physical fundamentals of wake vortices are described further, as well as the categorization of wake turbulence.

#### 3.1 Creation of Wake Turbulence

As described in Breitsamter 2010 wake turbulence is a direct and natural consequence of the generation of lift by a wing. The fundamental principle for generating lift is that the airflow along the bottom of the wing is less accelerated than the flow on the upper side of the wing. According to Bernoulli's principle that the pressure in a fluid is reduced as the speed of the flow is increased this results in the pressure on the bottom side being greater relative to the upper side of the wing.

Since the span of the wing is finite there is a flow around the wing tip as a result of the pressure difference and the subsequent aim to equate this state. This results in a strong vortex, which is referred to as "wing tip vortex". Between both counter-rotating wing tip vortices a vortex sheet with downward velocities or downwash develops.

Depending on the ambient conditions, these vortices last for up to several minutes spreading laterally from the aircraft while they continue to descend and decay gradually due to instability and atmospheric effects. The wake vortex behind a lifting wing is depicted in Figure 3.1 and Figure 3.2.

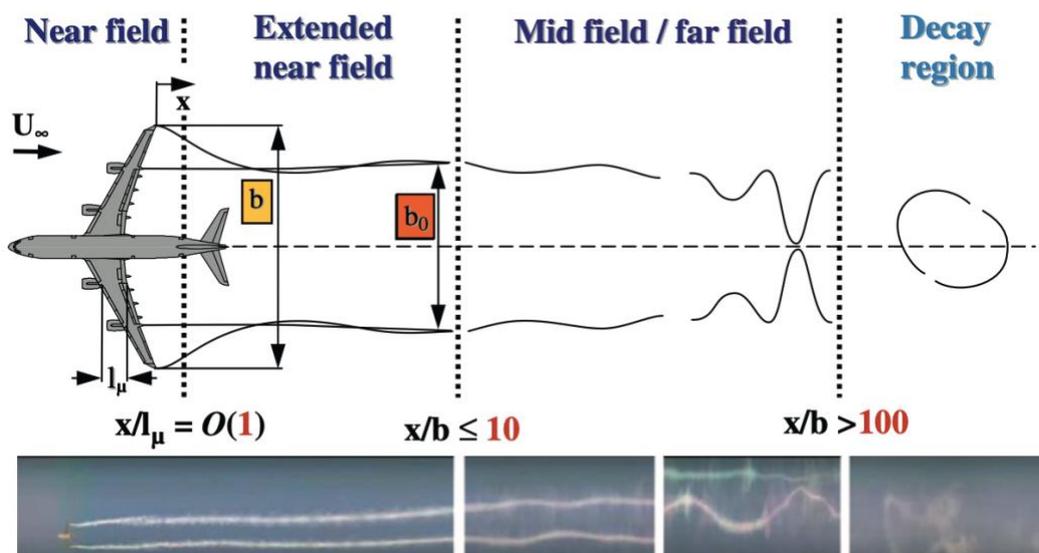


**Figure 3.1** The vortex wake behind a lifting wing (McLean 2005)



**Figure 3.2** Visible vortices behind a flying aircraft (Rill 1996)

According to Breitsamter 2010 the wake of an aircraft can be divided into four sections. The near field is the area in which highly concentrated vortices form. The second region is the extended near field. Here the wake vortices begin to roll up. Also, different co-vortices merge in this area leading to two counter-rotating vortices at the end of the extended near field. In the mid and far field the vortices begin to descend and gradually decay in the atmosphere. In the decay or dispersion region the two vortices finally fully decay and collapse due to instabilities. The different stages of the vortex wake are shown in Figure 3.3.



**Figure 3.3** Stages of the vortex wake behind an aircraft (Breitsamter 2010)

## 3.2 Influencing Factors

There are several factors to be considered when assessing the impact of wake vortices on following aircraft. Figure 3.4 shows the different correlations according to Liu 2007. The aircraft design and operational characteristics of the generating or leading aircraft, like weight, span, span load and stall speed, determine the generation of the wake vortices and their roll-up. The flight conditions of the leading aircraft, specifically the velocity and air density, also influence the roll-up of wake vortices.

Weather conditions, like wind direction, wind speed, turbulence, and temperature profile, have an impact on the vortex aging and the duration wake vortices persist. Slow windspeeds and stable weather conditions favor an extended survival duration.

The characteristics of the aged wake vortex and the properties of the following aircraft, including speed, wing span, intercept route, aspect, and taper ratio, eventually determine the impact of wake vortices on the trailing aircraft. In this thesis, in order to recategorize wake turbulence, only the characteristics of the leading aircraft are considered. External factors, like weather conditions or the air traffic management, are not taken into account.

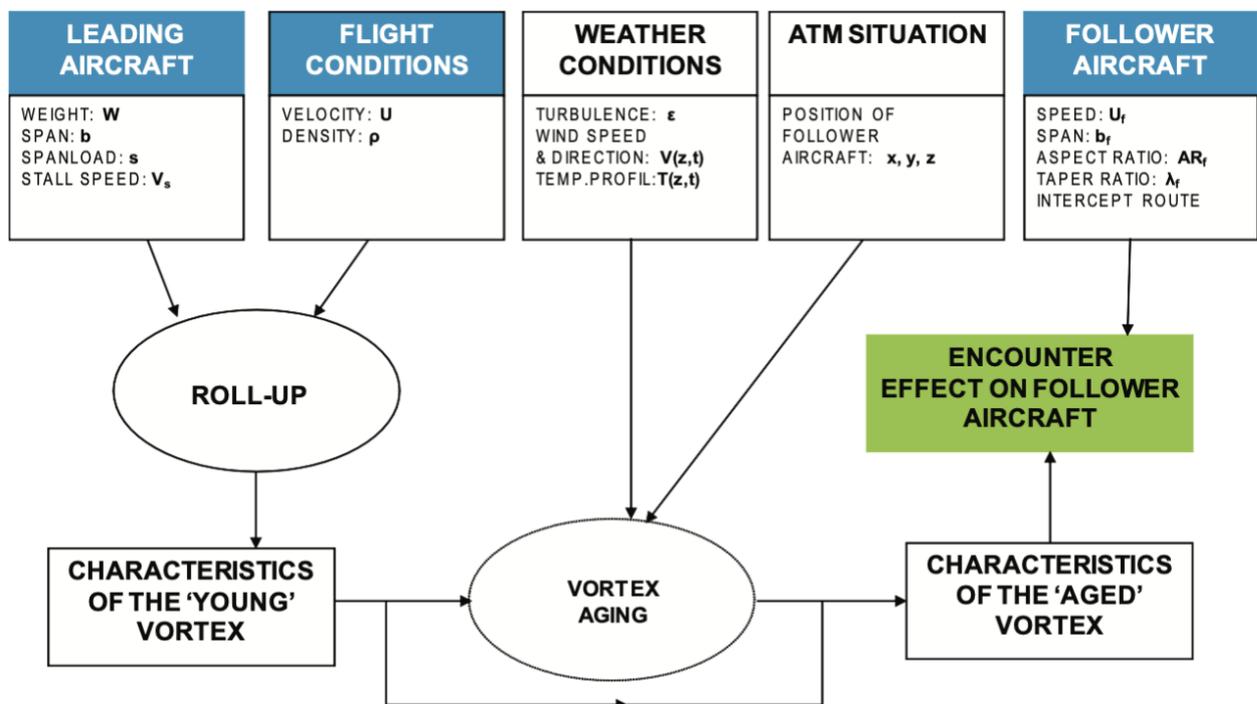


Figure 3.4 Factors affecting wake encounters (Liu 2007)

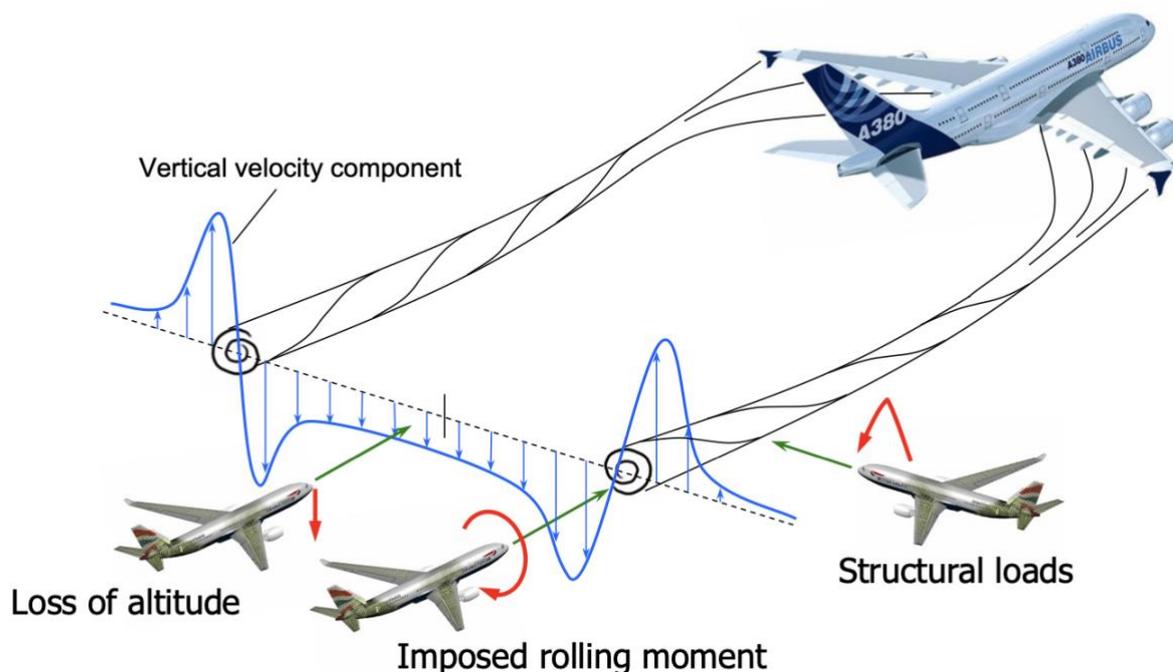
### 3.3 Wake Vortex Encounter Scenarios

Figure 3.5 shows three different vortex wake encounters in which a following aircraft flies through the vortex wake of a leading aircraft according to ICAO 1984 (page II-5-3-2), which is also visualized in De Kat 2007:

Scenario 1: The aircraft flies into the wake perpendicular to the vortex wake of an aircraft. This could cause high structural dynamic loads and increased turbulence during the wake vortex encounter.

Scenario 2: The aircraft flies parallel and between the trailing counter-rotating wing tip vortices. Due to the downwash in this region a wake encounter for a following aircraft can either reduce its rate of climb or increase its rate of descent, which can especially be a serious threat for aircraft during final approach.

Scenario 3: The aircraft flies along the vortex axis. This wake vortex encounter scenario is the most dangerous because the airplane flies into a velocity field which induces a roll moment on the following aircraft. This threat is especially of significance for aircraft flying in close proximity to the ground during approach in case the induced moment exceeds the roll control capability of the aircraft.



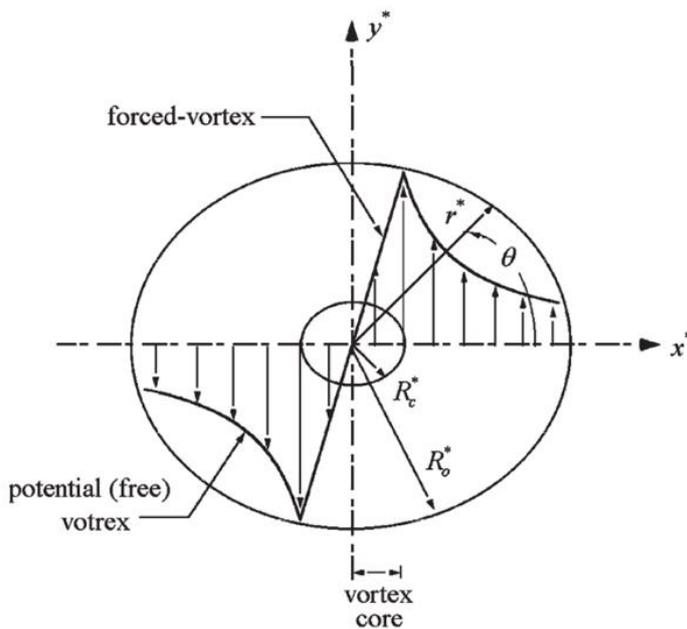
**Figure 3.5** Three different vortex encounter scenarios (De Kat 2007)

### 3.4 Vortex Models

Several models are used to describe the velocity distribution in a wing tip vortex. Liu 2007 gives an overview of historical models and recent models that try to resemble measured velocity distributions. The Rankine vortex is the basic vortex model. The Lamb-Oseen vortex combines two vortices each similar to the Rankine vortex to a flow system as it is shed from the wing.

#### Rankine Vortex

The inner part of the vortex is in rotation like a solid body. At the center the flow is at rest. The rotational velocity is proportional to the radius. This holds true up to the core radius,  $r_c$  where the velocity,  $V$  is at its maximum. From here on the circulation,  $\Gamma$  is constant, and the velocity profile is reduced hyperbolically with  $\frac{1}{r}$ . This vortex model has been used to describe wind velocities in a hurricane and is shown in Figure 3.6.



**Figure 3.6** Schematic of the Rankine vortex velocity profile (Aboelkassem 2005)

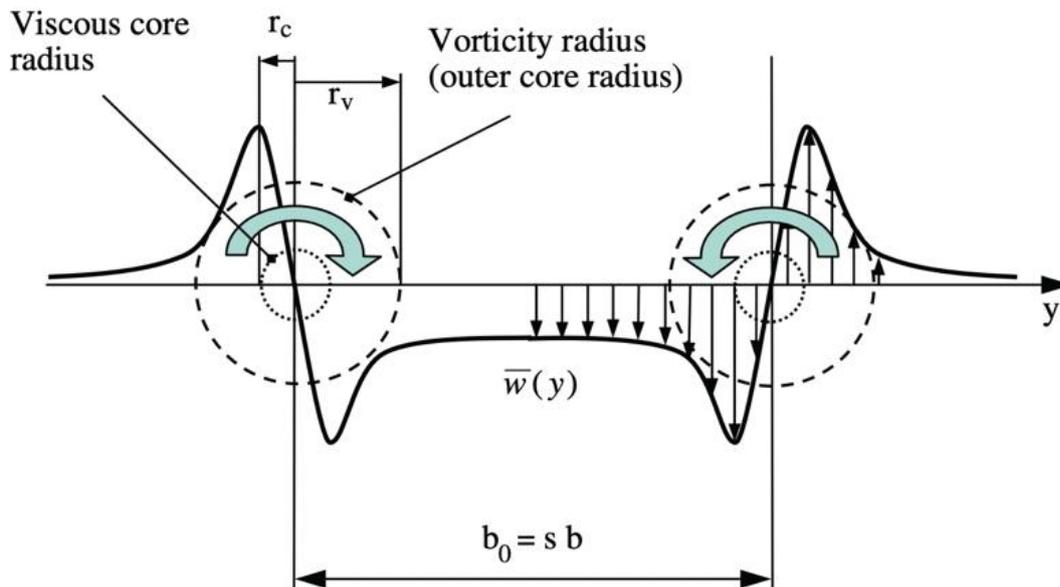
## Lamb-Oseen Vortex

Also, the Lamb-Oseen vortex has its inner part in rotation like a solid body. At the center the flow is at rest. The rotational velocity is proportional to the radius. At the core radius,  $r_c$  the velocity,  $V$  is at its maximum. From here on the velocity is reduced with increasing radius as given by

$$V_\theta(r) = \frac{\Gamma_v}{2\pi r} \cdot \left[ 1 - e^{-a \cdot \left(\frac{r}{r_c}\right)^2} \right] \quad (3.1)$$

with  $a = 1.256431$ , which puts the peak of velocity at the core radius,  $r_c$ . Figure 3.7 shows two counter-rotating Lamb-Oseen vortices of equal strength. The vortex cores are separated by the distance  $b_0 = s \cdot b$  with  $s = s_0 = \frac{\pi}{4}$  and  $b$  being the wing span. Hence the distance of the vortices is less than wing span. The circulation based on the elliptical loaded wing is given by

$$\Gamma_v = \frac{mg}{\rho s_0 b V} \quad (3.2)$$



**Figure 3.7** Superposition of two counter-rotating Lamb-Oseen vortices (Breitsamter 2010)

## 3.5 Wake Turbulence Classification

### 3.5.1 ICAO Categorization

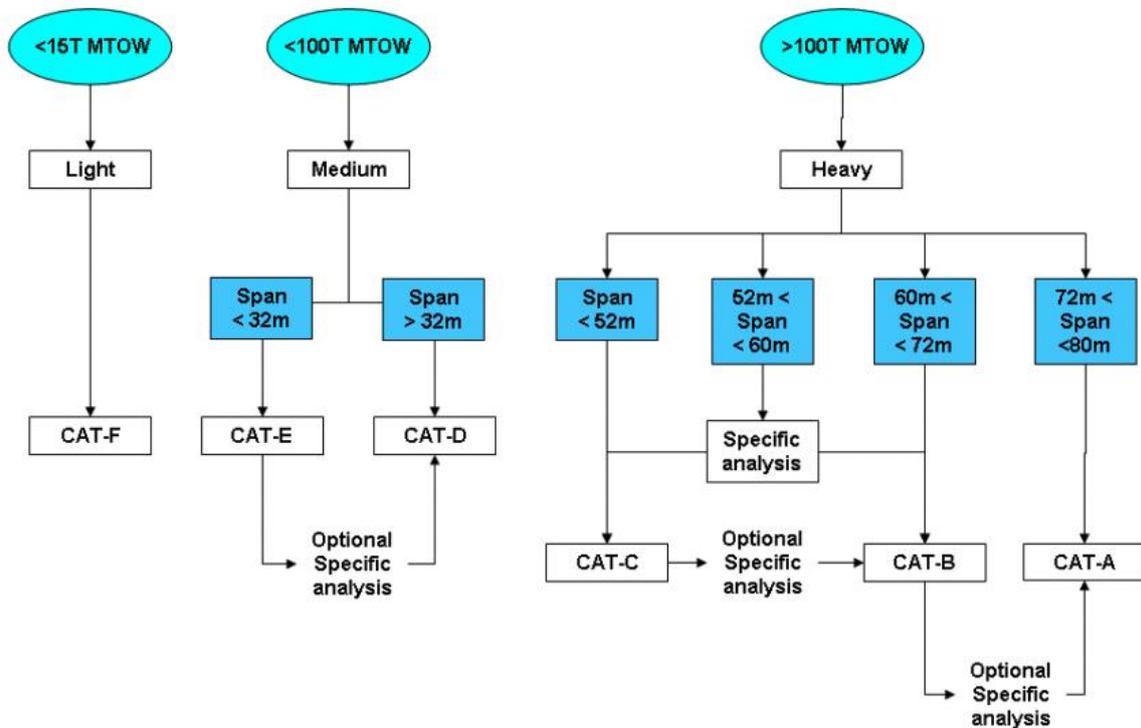
The ICAO classifies wake turbulence based on the maximum take-off mass of the aircraft into the following wake turbulence categories according to ICAO 2016 (page 4-12):

- **J – SUPER:** Aircraft types with a maximum certificated take-off mass of 560000 kg
- **H – HEAVY:** Aircraft types with a maximum certificated take-off mass of less than 560000 kg but more than 136000 kg
- **M – MEDIUM:** Aircraft types with a maximum certificated take-off mass of less than 136000 kg but more than 7000 kg
- **L – LIGHT:** Aircraft types with a maximum certificated take-off mass of 7000 kg or less

Variants of an aircraft type with different take-off masses might be classified within different wake turbulence categories. Civil aviation authorities of individual states may also make further changes to the classification and, for example, introduce additional categories. ICAO 2022a contains designators for almost every aircraft. With ICAO 2022b it is possible to search for a specific aircraft and obtain the aircraft type designator and the WTC associated with it.

### 3.5.2 EUROCONTROL Categorization (RECAT-EU)

The European Organization for the Safety of Air Navigation, also known as Eurocontrol, has developed a re-categorization of the ICAO wake turbulence categorization, called “RECAT-EU” described in Eurocontrol 2018. In contrast to the ICAO categorization presented above, in this approach Eurocontrol also considers the wingspan. The aim of this re-categorization is to increase airport capacity by redefining wake turbulence categories and separation while adhering to safety standards. The criteria and rules for assigning wake turbulence categories according to Eurocontrol 2018 are presented in Figure 3.8.



**Figure 3.8** Wake turbulence categorization according to Eurocontrol (Eurocontrol 2018)

Eurocontrol uses categories from CAT-A to CAT-F. CAT-F (Light) is used for aircraft with a maximum certified take-off mass of less than 15000 kg. Aircraft with a take-off mass up to 100000 kg are classified in the medium category. If the wingspan is less than 32 m CAT-E (Lower Medium) is used. Otherwise, if the wingspan is larger than 32 m the aircraft is classified as CAT-D (Upper Medium). Aircraft with a take-off mass greater than 100000 kg are considered as heavy. If the wingspan is less than 52 m CAT-C (Lower Heavy) is used. For wingspans between 60 m and 72 m CAT-B (Upper Heavy) is applied. In case the wingspan is larger than 72 m the aircraft is classified as CAT-A (Super Heavy). Should the wingspan range between 52 m and 60 m either CAT-C or CAT-B is assigned depending on a further analysis.

Table 3.1 contains aircraft types assigned to wake turbulence categories classified by Eurocontrol.

**Table 3.1** Aircraft types assigned to wake turbulence categories (Eurocontrol 2018)

| Super Heavy | Upper Heavy | Lower Heavy | Upper<br>Medium | Lower<br>Medium | Light |
|-------------|-------------|-------------|-----------------|-----------------|-------|
| CAT-A       | CAT-B       | CAT-C       | CAT-D           | CAT-E           | CAT-F |
| A388        | A332        | A306        | A318            | AT43            | FA10  |
| A124        | A333        | A310        | A319            | AT45            | FA20  |
|             | A343        | B703        | A320            | AT72            | D328  |
|             | A345        | B752        | A321            | B712            | E120  |
|             | A346        | B753        | AN12            | B732            | BE40  |
|             | A359        | B762        | B736            | B733            | H25B  |
|             | B744        | B763        | B737            | B734            | JS32  |
|             | B748        | B764        | B738            | B735            | JS41  |
|             | B772        | C135        | B739            | CL60            | LJ35  |
|             | B773        | DC10        | C130            | CRJ1            | LJ60  |
|             | B77L        | DC85        | IL18            | CRJ2            | SF34  |
|             | B77W        | IL76        | MD81            | CRJ7            | P180  |
|             | B788        | MD11        | MD82            | CRJ9            | C650  |
|             | B789        |             | MD83            | DH8D            | C525  |
|             | IL96        |             | MD87            | E135            | C180  |
|             |             |             | MD88            | E145            | C152  |
|             |             |             | MD90            | E170            |       |
|             |             |             | T204            | E175            |       |
|             |             |             |                 | E190            |       |
|             |             |             |                 | E195            |       |
|             |             |             |                 | F70             |       |
|             |             |             |                 | F100            |       |
|             |             |             |                 | GLF4            |       |
|             |             |             |                 | RJ85            |       |
|             |             |             |                 | RJ1H            |       |



The term pairwise in this context means according to FAA 2019 that “Each aircraft was addressed as both a leader and a follower in each pair”. Wake-based data was considered for the definition of FAA WTCs.

### 3.5.4 CAA Categorization

The Civil Aviation Authority (CAA), which is the British aviation authority, uses categories based on those of ICAO. The difference to ICAO is that there is the additional category S (Small), and the ICAO category M (Medium) is divided into UM (Upper Medium) and LM (Lower Medium). The following six categories apply for approach according to CAA 2022:

- **J – SUPER:** Only assigned to specific aircraft types: A388, A225, A124
- **H – HEAVY:** Aircraft types with a maximum certificated take-off mass of 136000 kg or more
- **UM – UPPER MEDIUM:** Aircraft types with a maximum certificated take-off mass of less than 136000 kg but more than 104000 kg
- **LM – LOWER MEDIUM:** Aircraft types with a maximum certificated take-off mass of 104000 kg or less but more than 40000 kg
- **S – SMALL:** Aircraft types with a maximum certificated take-off mass of 40000 kg or less but more than 17000 kg
- **L – LIGHT:** Aircraft types with a maximum certificated take-off mass of 17000 kg or less

## 3.6 Wake Vortex Separation

In ICAO 2016 and Eurocontrol 2018 the following separation minima presented in Table 3.3 based on the assigned wake turbulence category are defined.

**Table 3.3** ICAO wake turbulence categories and separation minima (Eurocontrol 2018)

| Aircraft categories |                    | Separation minima |
|---------------------|--------------------|-------------------|
| Leading aircraft    | Following aircraft |                   |
| SUPER               | HEAVY              | 6 NM              |
|                     | MEDIUM             | 7 NM              |
|                     | LIGHT              | 8 NM              |
| HEAVY               | HEAVY              | 4 NM              |
|                     | MEDIUM             | 5 NM              |
|                     | LIGHT              | 6 NM              |
| MEDIUM              | LIGHT              | 5 NM              |

Table 3.3 indicates that the WTC of the following aircraft is just as relevant as the WTC of the leading aircraft for the determination of separation minima. This can be explained by the fact that heavier aircraft are less at risk from wake vortices since they are more inert. Accordingly, in Figure 3.4, among the parameters of the follower aircraft, the aircraft mass is to be found missing.

In the context of RECAT-EU, in addition to new WTCs, new distance-based separation minima on approach and departure, shown in Table 3.4, were developed with the aim of improving airport capacity without compromising safety.

**Table 3.4** RECAT-EU WT separation minima on approach and departure (Eurocontrol 2018)

| Following aircraft |       | SUPER | UPPER  | LOWER | UPPER  | LOWER  | LIGHT |
|--------------------|-------|-------|--------|-------|--------|--------|-------|
|                    |       | HEAVY | HEAVY  | HEAVY | MEDIUM | MEDIUM |       |
| Leading aircraft   |       | CAT-A | CAT-B  | CAT-C | CAT-D  | CAT-E  | CAT-F |
| SUPER              | CAT-A | 3 NM  | 4 NM   | 5 NM  | 5 NM   | 6 NM   | 8 NM  |
| HEAVY              |       |       |        |       |        |        |       |
| UPPER              | CAT-B |       | 3 NM   | 4 NM  | 4 NM   | 5 NM   | 7 NM  |
| HEAVY              |       |       |        |       |        |        |       |
| LOWER              | CAT-C |       | 2.5 NM | 3 NM  | 3 NM   | 4 NM   | 6 NM  |
| HEAVY              |       |       |        |       |        |        |       |
| UPPER              | CAT-D |       |        |       |        |        | 5 NM  |
| MEDIUM             |       |       |        |       |        |        |       |
| LOWER              | CAT-E |       |        |       |        |        | 4 NM  |
| MEDIUM             |       |       |        |       |        |        |       |
| LIGHT              | CAT-F |       |        |       |        |        | 3NM   |

## 4 Methodology

As explained in Scholz 2018 when lift is generated, the wing presses the air it encounters in a downward direction. The air beyond the wingtips moves upward and then inward, which, together with the air flowing downward and then outward, results in the formation of two counter-rotating vortices.

Regardless of which vortex model from Section 3.4 is used, certain energy is required to generate wake vortices, which is represented in the induced drag of the aircraft. The induced power is hence the power induced into the wake of an airplane while generating lift. Consequently, lots of lift implies lots of induced power put into an aircraft's wake vortex. According to Anderson 1999 “the power needed to lift the airplane is proportional to the load (or weight) times the vertical velocity of the air”. With increasing speed more air can be deflected downwards, decreasing the required power for lift. Furthermore, it can be concluded from the above-mentioned correlation that the required power for lift increases with higher mass. In the following, the equation for induced power is derived from induced drag (as a function of lift), and also from the energy in the vortex.

### 4.1 Induced Power Calculation

The approach used in this paper to categorize wake vortex strength according to Scholz 2022 considers the induced power contributed by the respective aircraft to its wake vortex. The induced power,  $P_{wake}$  results from the induced drag,  $D_i$  and the airspeed,  $V$

$$P_{wake} = D_i V \quad (4.1)$$

The induced drag,  $D_i$  is calculated by using following formula:

$$D_i = \frac{1}{2} \rho V^2 C_{D_i} S \quad (4.2)$$

For the calculation of the induced drag the drag coefficient,  $C_{D_i}$  is required

$$C_{D_i} = \frac{C_L^2}{\pi A e} \quad (4.3)$$

By further transformations the lift coefficient is determined and then used in the previous formulas

$$m g = L = \frac{1}{2} \rho V^2 C_L S \quad (4.4)$$

$$C_L = \frac{2 m g}{\rho V^2 S} \quad (4.5)$$

The result is a formula for the calculation of the induced drag:

$$D_i = \frac{2 m^2 g^2}{\pi A e \rho V^2 S} \quad (4.6)$$

By multiplication of the induced drag with the airspeed the following expression results for the induced power

$$P_{wake} = \frac{2 g^2}{\pi} \frac{1}{b^2 e} \frac{m^2}{\rho V} \quad (4.7)$$

The formula is split into three factors. The first factor contains constants, such as the gravitational acceleration,  $g$ . The second factor includes the wing span,  $b$  and the Oswald factor,  $e$ . The third factor consists of parameters, which are determined from flight operations: aircraft mass,  $m$ , approach speed,  $V$  and air density,  $\rho$ , which depends on the airfield altitude.

All parameters from Figure 3.4, which influence the roll-up of wake vortices are reflected in Equation 4.7. The span loading mentioned in Figure 3.4 is considered by using the Oswald factor as a correction factor for a non-elliptical span loading.

From the derived formula it becomes evident that with increasing aircraft mass the induced power increases. This may be explained by the fact that a larger mass requires more lift and consequently more induced power is contributed to the aircraft's wake. Simultaneously, as stated in Scholz 2018, the induced power decreases with increasing wing span and approach speed since by increasing the wing span, a larger mass of air can be affected using a smaller amount of speed to generate a certain amount of lift, resulting in less energy in the wake.

It is also possible to derive the induced power from the energy of Lamb-Oseen vortices shed from the wing. This is shown in Liu 2007.

$$P_{wake} = D_i V = E_k V \quad (4.8)$$

where  $E_k$  is the kinetic energy divided by the distance flown. Since energy or work is force times distance, the energy,  $E_k$  has the unit of force.  $E_k$  multiplied with the flight speed,  $V$  yields also induced power. The circulation based on the elliptical loaded wing is given by

$$\Gamma_v = \frac{mg}{\rho s_0 b V} \quad (4.9)$$

As a good approximation and under the assumption that the vorticity fields of the left and right vortex do not overlap, an approximation of the exact crossflow kinetic energy can be written as

$$E_k = \rho \frac{\Gamma_v^2}{2\pi} \left\{ \ln \left( \frac{s_0 b}{r_c} \right) + C \right\} \quad (4.10)$$

where  $C$  is a constant that depends only on the particular circulation profile. For the Lamb-Oseen vortices  $C = 0.05617$ . However, we obtain the value of the braced term as

$$\frac{(2s_0)^2}{e} \approx \ln \left( \frac{s_0 b}{r_{c,0}} \right) + C \quad (4.11)$$

with  $s_0 = \frac{\pi}{4}$ .

Substituting (4.11) in (4.10), inserting (4.9) and multiplying with  $V$  as in (4.8) yields induced power,  $P_{wake}$  as in (4.7).

The power in the fluid endangers an aircraft following behind in the axis of the vortex. The following aircraft experiences a rolling moment,  $L_R$ , which causes an acceleration in roll,  $\dot{p}$ . The aircraft can counter the roll with aileron input, but if aileron authority is insufficient, the aircraft will continue to roll and bank. The aircraft's roll acceleration depends on its moment of inertia,  $I_x$  about the x-axis

$$\dot{p} = \frac{L_R}{I_x} \quad (4.12)$$

The rolling moment,  $L_R$  is proportional to air density, speed square, wing area, and span. The moment of inertia is roughly proportional to the aircraft mass and span square. After all, it is less clear how an aircraft will resist flying into a vortex. But rolling resistance will increase with aircraft wing loading,  $\frac{m}{S}$  and span,  $b$ . Larger aircraft have clearly an advantage. Rolling resistance will decrease with aircraft speed,  $V$  and air density,  $\rho$ .

Aircraft wake turbulence categories (WTC) first of all group aircraft depending on their parameters generating a danger if flying ahead. The same WTCs are much less useful predicting rolling resistance of a following aircraft. Both characteristics are needed, if advice about aircraft separation is in question.

## 4.2 Estimation of the Oswald Factor

To calculate the induced power according to the equation shown in the preceding section, it is necessary to use the Oswald factor. In Nita 2012 two methods for estimating the Oswald factor are presented, which are described in the following.

### 4.2.1 Calculation of the Oswald Factor without Input of $C_{D0}$

The equation to calculate the Oswald Factor without using the zero drag coefficient is:

$$e = e_{theo} \cdot k_{e,F} \cdot k_{e,D_0} \cdot k_{e,M} \cdot k_{e,WL} \quad (4.13)$$

The correction factor,  $k_{e,WL}$  is only used for aircraft with winglets and is calculated with following equation:

$$k_{e,WL} = \left(1 + \frac{2}{k_{WL}} \frac{h}{b}\right)^2 \quad (4.14)$$

$h$  is the winglet height and for  $k_{WL}$  the average value of 2,83 can be used.

$k_{e,D_0}$  is a correction factor which considers the viscous drag due to lift and depends on the aircraft category. Different values for this correction factor are shown in Table 4.1.

**Table 4.1** Values for the correction factor  $k_{e,D_0}$  (Scholz 2012)

| Aircraft category | $k_{e,D_0}$ |
|-------------------|-------------|
| Jet               | 0,873       |
| Business Jet      | 0,864       |
| Turboprop         | 0,804       |
| General Aviation  | 0,804       |

$k_{e,F}$  is a correction factor which considers the losses due to the fuselage and is calculated with following equation:

$$k_{e,F} = 1 - 2 \left(\frac{d_F}{b}\right)^2 \quad (4.15)$$

$d_F$  is the diameter of the fuselage,  $\frac{d_F}{b}$  is the ratio of the fuselage diameter and the wingspan.

$k_{e,M}$  is a correction factor which considers compressibility effects on induced drag. Since this thesis only considers the Mach numbers of aircraft on approach, and they are below the compressibility Mach number, this factor for estimating the Oswald factors is not considered further.

$e_{theo}$  is the theoretical Oswald factor which can be calculated for unswept wings with the following equations:

$$e_{theo} = \frac{1}{1 + f(\lambda - \Delta\lambda) \cdot A} \quad (4.16)$$

with

$$\Delta\lambda = -0,357 + 0,45 \cdot e^{-0,0375 \cdot \varphi_{25}} \quad (4.17)$$

and

$$f(\lambda - \Delta\lambda) = 0,0524(\lambda - \Delta\lambda)^4 - 0,15(\lambda - \Delta\lambda)^3 + 0,1659(\lambda - \Delta\lambda)^2 - 0,0706(\lambda - \Delta\lambda) + 0,011 \quad (4.18)$$

$\lambda$  is the taper ratio,  $A$  is the Aspect ratio and  $\varphi_{25}$  is the sweep angle in degrees measured at a quarter of the chord length.

## 4.2.2 Calculation of the Oswald Factor with Input of $C_{D0}$

The equation to calculate the Oswald Factor with using the zero drag coefficient is as follows:

$$e = \frac{k_{e,M}}{Q + P\pi A} \quad (4.19)$$

with

$$Q = \frac{1}{e_{theo} \cdot k_{e,F}} \quad (4.20)$$

and

$$P = 0,38 \cdot C_{D,0} \quad (4.21)$$

As mentioned in the previous section  $k_{e,M}$  is not considered due to the low approach speeds, therefore the value of 1 is assumed for  $k_{e,M}$ . The theoretical Oswald factor,  $e_{theo}$  and the correction factor,  $k_{e,F}$  are used from the previous calculation.

The zero drag coefficient is calculated according to Scholz 2015 with following equation:

$$C_{D,0} = C_{fe} \cdot \frac{S_{wet}}{S_W} \quad (4.22)$$

with  $C_{fe} = 0,003$ .  $S_{wet}$  is the wetted aircraft area and  $S_W$  is the reference wing area. Both values are used from Schlueter 2006.

Due to the relatively high effort to calculate the wetted area, the Oswald factors are calculated using the second method for only 12 of the total 89 aircraft considered. The comparison of the Oswald factors from both methods shows no significant deviation.

### 4.3 Aircraft Selection

A total of 89 different aircraft models are used for the calculation of the induced power. The selected airplane models differ significantly in wingspan, airplane mass, approach speed, which are the parameters required for the calculation of the induced power.

The selection of aircraft is based on the Eurocontrol categorization. All aircraft that are listed in the Eurocontrol categorization in Section 3.5.2 are examined. Some of these aircraft are not included in the FAA categorization. Instead, the FAA categorization contains other aircraft that are not included in the Eurocontrol categorization. These were not considered for the calculation, as the Eurocontrol categorization contains sufficient different aircraft which significantly differ from each other. For all aircraft from Table 4.2, the CAA and ICAO provide a WTC depending on the aircraft mass which can be found using ICAO 2022b (for ICAO WTC). The order in which the aircraft are listed corresponds to the one used by Eurocontrol.

**Table 4.2** Examined aircraft models

| Aircraft model   | Type<br>Designator | Aircraft model          | Type<br>Designator |
|------------------|--------------------|-------------------------|--------------------|
| Airbus A-380-800 | A388               | McDonnell Douglas MD-88 | MD88               |
| Antonov An-124   | A124               | McDonnell Douglas MD-90 | MD90               |
| Airbus A-330-200 | A332               | Tupolev Tu-204          | T204               |
| Airbus A-330-300 | A333               | ATR-42-300              | AT43               |
| Airbus A-340-300 | A343               | ATR-42-500              | AT45               |

**Cont.: Table 4.2** Examined aircraft models

| Aircraft model             | Type<br>Designator | Aircraft model            | Type<br>Designator |
|----------------------------|--------------------|---------------------------|--------------------|
| Airbus A-340-500           | A345               | ATR-72                    | AT72               |
| Airbus A-340-600           | A346               | Boeing 717-200            | B712               |
| Airbus A-350-900 XWB       | A359               | Boeing 737-200            | B732               |
| Boeing 747-400             | B744               | Boeing 737-300            | B733               |
| Boeing 747-8               | B748               | Boeing 737-400            | B734               |
| Boeing 777-300             | 773                | Bombardier Challenger 650 | CL60               |
| Boeing 777-200LR           | B77L               | Canadair CRJ-100          | CRJ1               |
| Boeing 777-300ER           | B77W               | Canadair CRJ-200          | CRJ2               |
| Boeing 787-8               | B788               | Canadair CRJ-700          | CRJ7               |
| Boeing 787-9               | B789               | Canadair CRJ-900          | CRJ9               |
| Ilyushin Il-96-300         | IL96               | De Havilland DHC-8 Q400   | DH8D               |
| Airbus A-300-600           | A306               | Embraer ERJ-135           | E135               |
| Airbus A-310               | A310               | Embraer ERJ-145           | E145               |
| Boeing 707-320B            | B703               | Embraer ERJ-170           | E170               |
| Boeing 757-200             | B752               | Embraer ERJ-175           | E175               |
| Boeing 757-300             | B753               | Embraer ERJ-190           | E190               |
| Boeing 767-200             | B762               | Embraer ERJ-195           | E195               |
| Boeing 767-300             | B763               | Fokker 70                 | F70                |
| Boeing 767-400ER           | B764               | Fokker 100                | F100               |
| Boeing C-135               | C135               | Gulfstream 4              | GLF4               |
| McDonnell Douglas DC-10-30 | DC10               | Avro RJ-85                | RJ85               |
| Douglas DC-8-50            | DC85               | Avro RJ-100               | RJ1H               |
| Ilyushin Il-76             | IL76               | Dassault Falcon 10        | FA10               |
| McDonnell Douglas MD-11    | MD11               | Dassault Falcon 20        | FA20               |
| Airbus A-318               | A318               | Dornier 328               | D328               |
| Airbus A-319               | A319               | Embraer EMB-120           | E120               |
| Airbus A-320               | A320               | Beechcraft Beechjet 400A  | BE40               |
| Airbus A-321               | A321               | Raytheon Hawker 800       | H25B               |
| Antonov An-12              | AN12               | BAe 32                    | JS32               |
| Boeing 737-600             | B736               | BAe 41                    | JS41               |
| Boeing 737-700             | B737               | Learjet 35                | LJ35               |
| Boeing 737-800             | B738               | Learjet 60                | LJ60               |
| Boeing 737-900             | B739               | Saab 340                  | SF34               |
| Lockheed C-130J            | C130               | Piaggio P-180 Avanti      | P180               |
| Ilyushin Il-18             | IL18               | Cessna 650                | C650               |
| McDonnell Douglas MD-81    | MD81               | Cessna 525                | C525               |
| McDonnell Douglas MD-82    | MD82               | Cessna 180                | C180               |
| McDonnell Douglas MD-83    | MD83               | Cessna 152                | C152               |
| McDonnell Douglas MD-87    | MD87               |                           |                    |



**Table 5.1** HAW Hamburg Wake Turbulence Categories

| HAW Hamburg WTC | Induced Power [MW] |
|-----------------|--------------------|
| CAT I           | > 15               |
| CAT II          | 5 – 15             |
| CAT III         | 1 – 5              |
| CAT IV          | < 1                |

The categorization of the aircraft from Table 4.2 according to the HAW Hamburg WTC results as given in Table 5.2.

**Table 5.2** Aircraft types assigned according to HAW Hamburg WTC

| CAT I | CAT II | CAT III | CAT IV |
|-------|--------|---------|--------|
| A388  | A346   | B703    | GLF4   |
| A124  | A345   | A321    | CRJ2   |
| B748  | DC10   | DC85    | E135   |
| B744  | B773   | T204    | E145   |
|       | B77W   | B734    | CRJ1   |
|       | MD11   | B739    | AT72   |
|       | B77L   | C130    | FA20   |
|       | B772   | B738    | CL60   |
|       | IL76   | A319    | AT45   |
|       | A343   | B733    | D328   |
|       | A333   | B735    | AT43   |
|       | A359   | A320    | FA10   |
|       | A306   | A318    | SF34   |
|       | A332   | B737    | H25B   |
|       | B789   | AN12    | E120   |
|       | IL96   | B736    | JS41   |
|       | B764   | B712    | LJ60   |
|       | B763   | RJ85    | LJ35   |
|       | A310   | E195    | BE40   |
|       | B788   | E190    | C650   |
|       | B762   | F100    | JS32   |
|       | B753   | IL18    | P180   |
|       | C135   | MD90    | C525   |
|       | B752   | CRJ9    | C180   |
|       |        | MD83    | C152   |
|       |        | F70     |        |
|       |        | MD81    |        |
|       |        | MD88    |        |
|       |        | MD82    |        |
|       |        | E175    |        |
|       |        | CRJ7    |        |
|       |        | MD87    |        |

## 5.2 Comparison with Conventional Classification

In Figure 5.2 to Figure 5.6 the wake turbulence classification is indicated with a dot versus the calculated induced power of each aircraft from Table 4.2. Figure 5.2 shows the HAW Hamburg WTC. Since they are defined by induced power, the dots jump in clear steps with increasing induced power.

In contrast to HAW Hamburg WTC the ICAO, FAA, CAA and Eurocontrol wake turbulence categorization occasionally assign a smaller WTC to an aircraft with larger induced power. This is indicated by an overlap of categories when plotted against induced power. Most inconsistency (and thus the most overlaps) is in the categorization of the FAA. With regard to the Eurocontrol categorization the main inconsistency is found between CAT-B and CAT-C. When categorizing Wake Turbulence, Eurocontrol also considers wing span. Aircraft with larger wing span are assigned to higher WTC. This is in contradiction to the fact that the induced power decreases with increasing wing span and thus the aircraft would have to be classified in a lower WTC.

For the ICAO categorization, the greatest overlap exists between the wake turbulence categories H (Heavy) and M (Medium). Regarding the CAA categorization the greatest overlap is visible between the categories H (Heavy) and UM (Upper Medium) and between LM (Lower Medium) and S (Small).

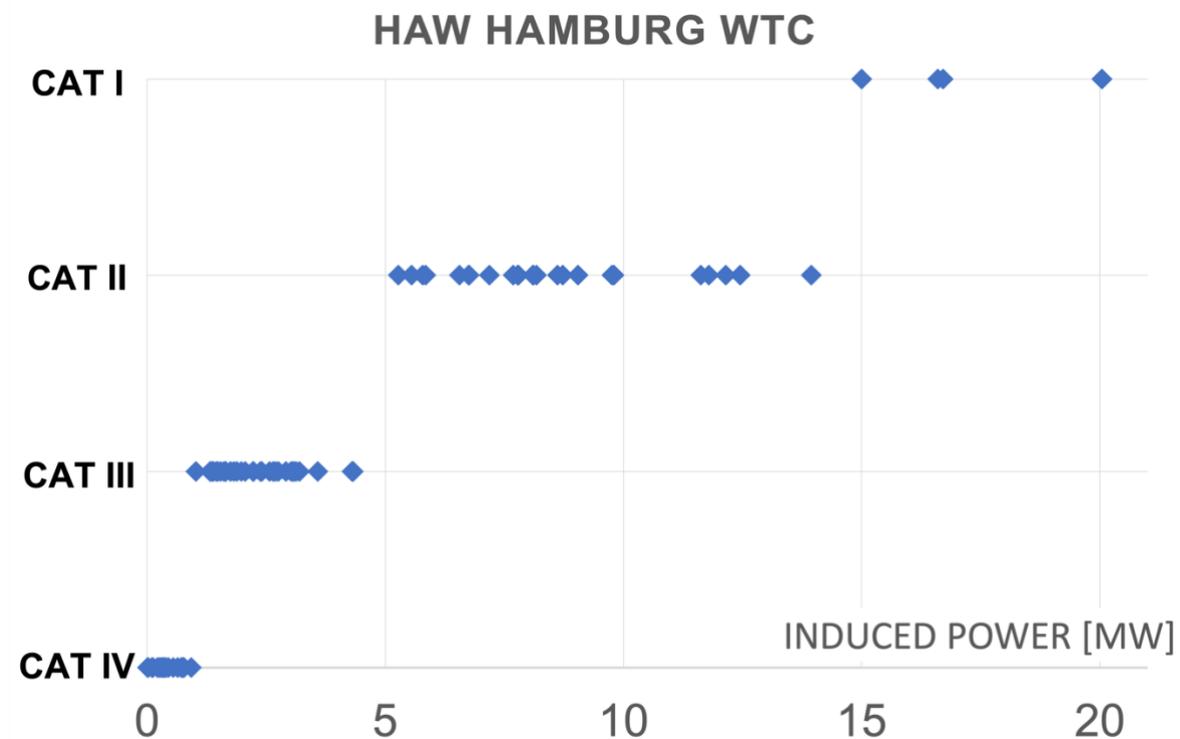


Figure 5.2 Induced power according HAW Hamburg WTC

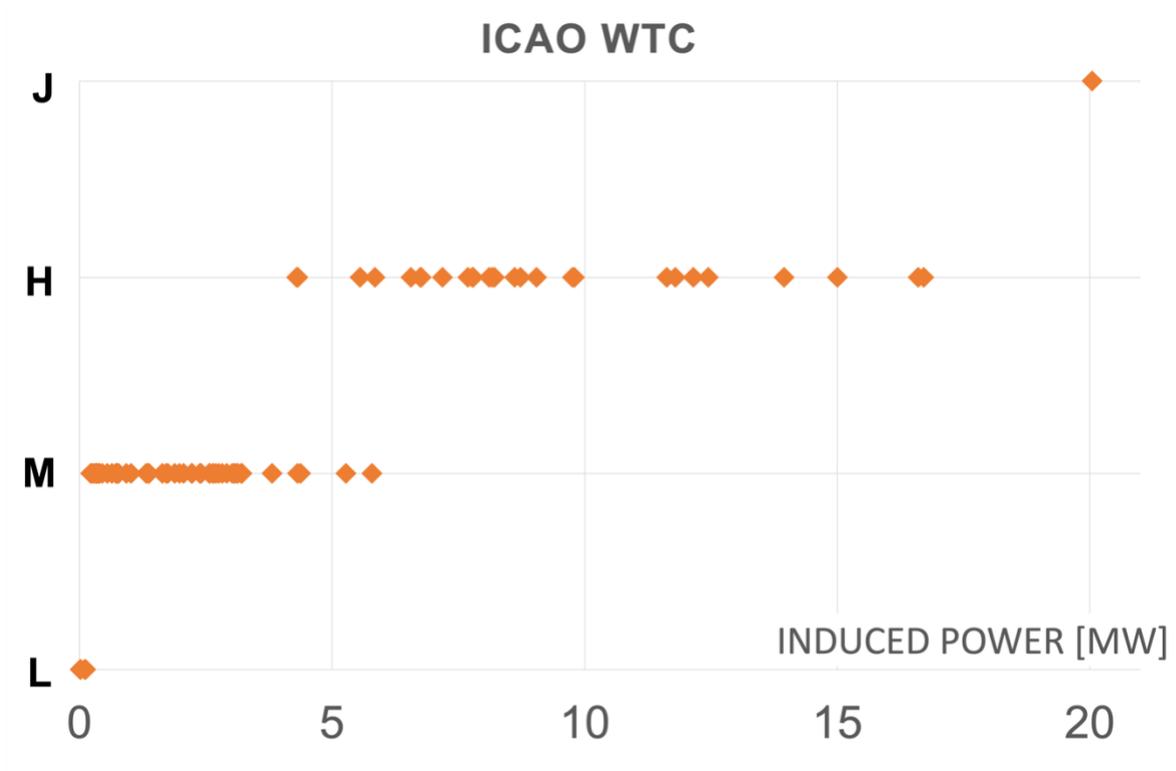


Figure 5.3 Induced power according ICAO WTC

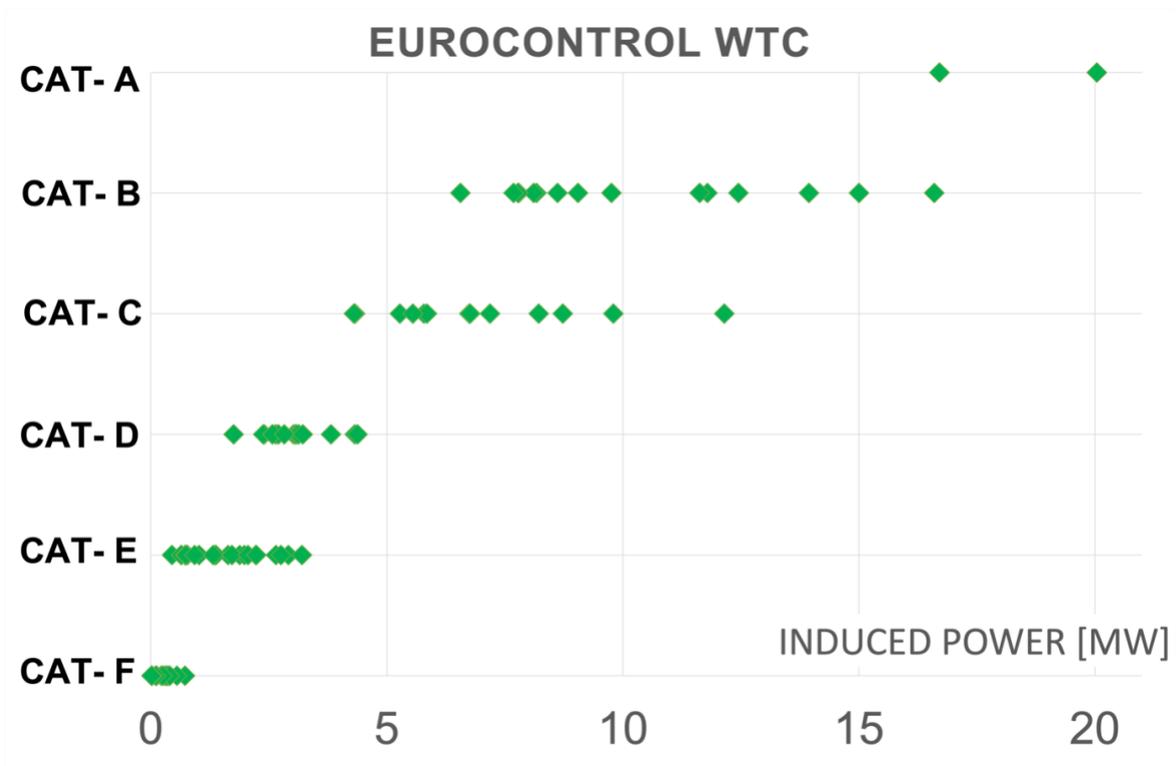


Figure 5.4 Induced power according EUROCONTROL WTC

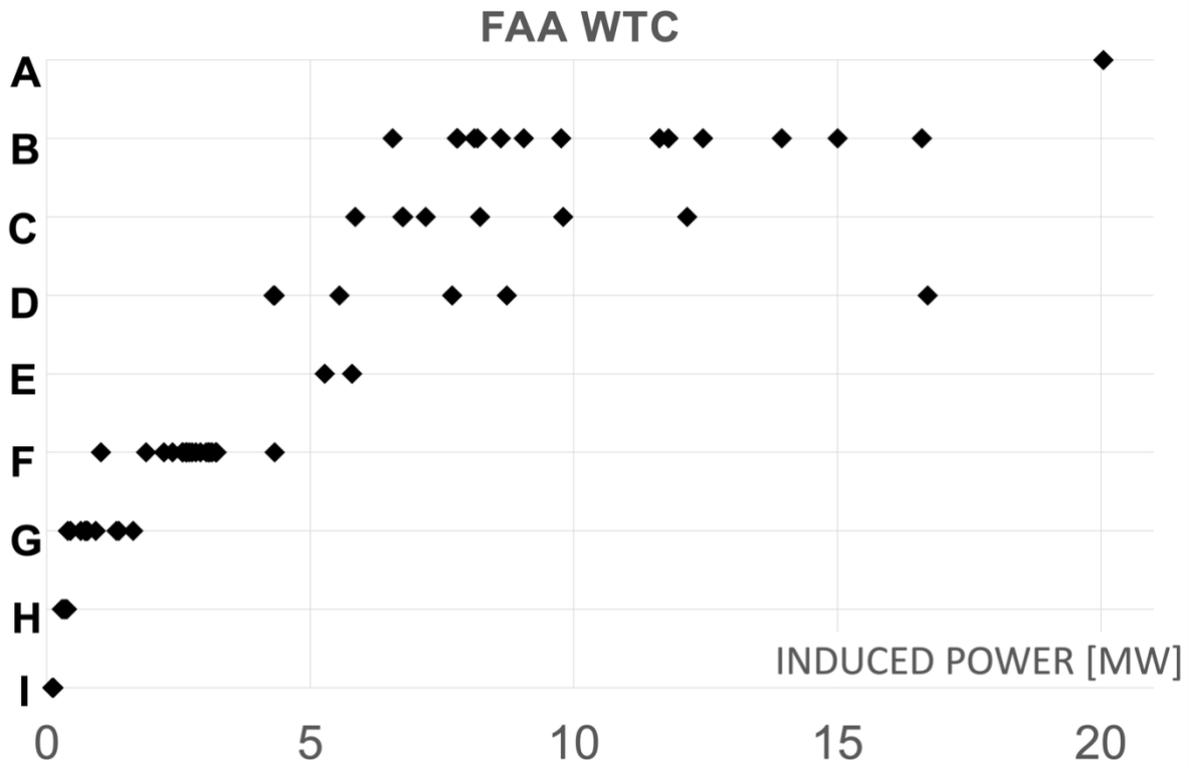


Figure 5.5 Induced power according FAA WTC

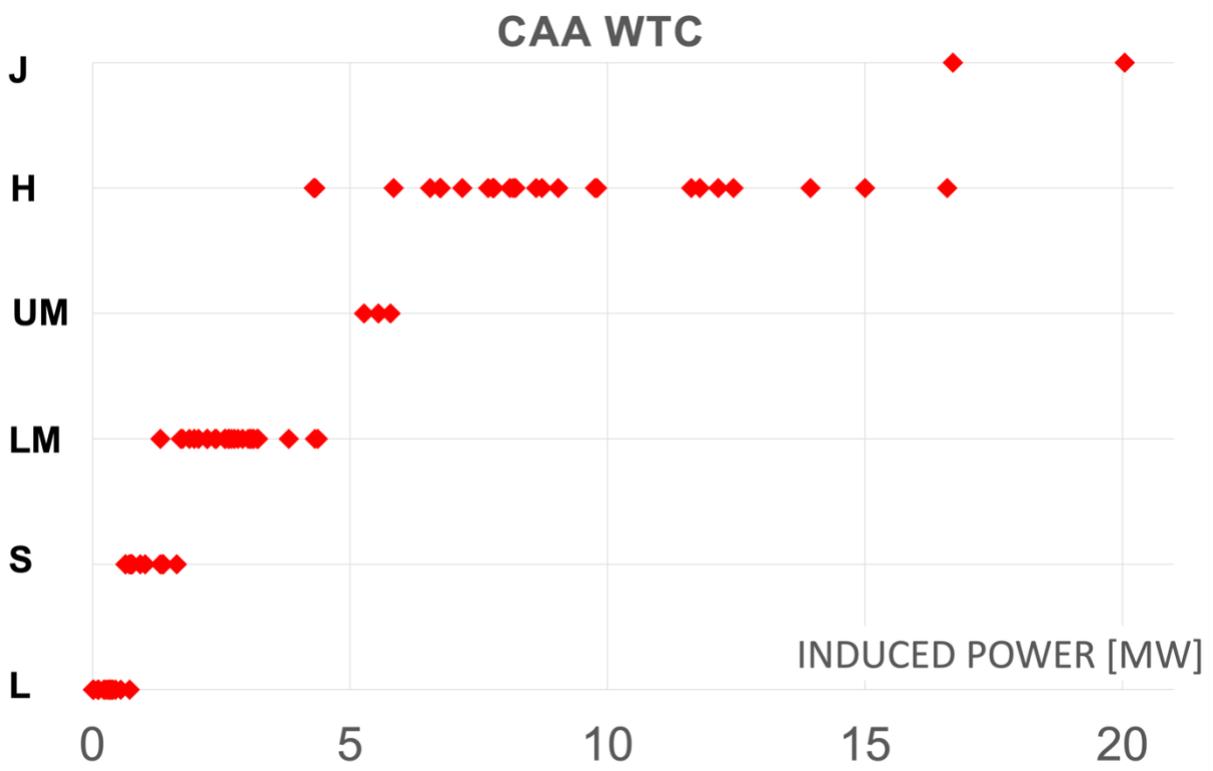


Figure 5.6 Induced power according CAA WTC

### **5.3 Evaluation of A380 and B757 WTC**

In Section 2 it is mentioned that ICAO created a separate WTC for the A380 due to its large mass. As derived in Section 4.1 the aircraft mass has the greatest influence on the induced power, evident by the fact that the mass is squared.

Considering the results of the induced power calculation presented in Figure 5.1, the decision of ICAO to introduce a new WTC for the A380 can be agreed with, since the significantly higher mass causes a considerably larger induced power compared to all other examined aircraft.

Another aspect mentioned in Section 2 is the categorization of B757 aircraft. According to the ICAO classification the B757 is assigned to the WTC Medium. Nevertheless, some states apply a higher WTC to the B757.

With regard to the results in Section 5.1, it is evident that the B757 is assigned to the second-highest WTC and is thus classified higher than other narrowbody aircraft. In this respect, from the perspective of induced power, the decision of some countries to apply the WTC Heavy to B757 aircraft is justified.

## 6 Summary and Conclusions

The objective of this thesis was to define new Wake Turbulence Categories based on induced power calculation and compare them to the categories which are used by Eurocontrol, FAA, CAA, and the ICAO to classify wake turbulence.

The literature review has shown that much research has been done on the subject of wake turbulence, hence today there is a lot of knowledge about it. Nevertheless, the classification of wake turbulence is still rather rudimentary. Induced power was considered as the relevant factor for the categorization of aircraft into WTC, since it describes how much energy per unit of time is induced into the wake of an aircraft when generating lift.

The calculation of the induced power for the different aircraft models resulted in four Wake Turbulence Categories: For an induced power greater than 15 MW the highest category CAT I is assigned. CAT II is used for aircraft with an induced power ranging from 5 to 15 MW. If the induced power is between 1 and 5 MW the aircraft is assigned to CAT III. The lowest category CAT IV is assigned to aircraft with induced powers smaller than 1 MW.

The comparison to conventional wake turbulence classification systems showed that ICAO, FAA, CAA and Eurocontrol frequently assign smaller WTC to aircraft with larger induced powers. In contrast, the recommended categories are based on a clear assignment according to the induced power calculation.

Regarding the categorization of the A380, it has been shown that the definition of the WTC Super by ICAO is correct. It has also been proven that the B757 is rightly assigned to the WTC Heavy by some states.

The impact and different wake encounter scenarios for aircraft flying into the wake of a leading aircraft was presented in Section 3. The greater the induced power an aircraft contributes to its wake vortex, the more hazardous the wake encounter is for following aircraft. Therefore, and to conclude this thesis the recommended HAW Hamburg WTC should be used instead of the established WTC to categorize Wake Turbulence.

## 7 Recommendations

In this thesis, the minimum separation distances have not been examined nor the extent to which the consideration of induced power may reduce minimum separation distances. Physics based separation minima would need a double classification of each aircraft: a) classification related to wake vortex generation as done in this thesis and b) classification related to rolling resistance. The wake separation minima would then be allocated from a pairwise comparison.

Also, the idea of a division into six different categories analogous to RECAT-EU could be discussed. The limits for the different categories could be based on the categories proposed by RECAT-EU. Thus, RECAT-EU could be referred to when considering the optimization of separation minima. In Eurocontrol 2018 it is mentioned that the proposed re-categorization optimization of separation minima is not based on calculations, but on “structured arguments with supporting evidence“. Hence, the consideration of induced power, presented in the context of this thesis, for the evaluation of wake turbulence, could be used as a convincing argument.

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## Appendix A – Induced Power Calculation

| Index | Type Designator | Model                          | WTC (EC) | WTC (ICAO) | WTC (FAA) | WTC (CAA) | max. landing weight [kg] | wing span [m] | approach speed (VAT) [m/s] | Oswald Factor [-] | Induced Power [W] | Induced Power [MW] |
|-------|-----------------|--------------------------------|----------|------------|-----------|-----------|--------------------------|---------------|----------------------------|-------------------|-------------------|--------------------|
| 1     | A388            | Airbus A-380-800               | CAT-A    | J          | A         | J         | 394000                   | 79,75         | 72,01646091                | 0,845065          | 20044459,8        | 20,04445982        |
| 2     | A124            | Antonov An-124                 | CAT-A    | H          | D         | J         | 330000                   | 73,3          | 72,01646091                | 0,841753          | 16710479,6        | 16,71047957        |
| 3     | A332            | Airbus A-330-200               | CAT-B    | H          | B         | H         | 182000                   | 60,3          | 69,44444444                | 0,840949          | 7796256,89        | 7,796256885        |
| 4     | A333            | Airbus A-330-300               | CAT-B    | H          | B         | H         | 187000                   | 60,3          | 69,95884774                | 0,840719          | 8172231,12        | 8,172231116        |
| 5     | A343            | Airbus A-340-300               | CAT-B    | H          | B         | H         | 192000                   | 60,3          | 69,95884774                | 0,840698          | 8615298,5         | 8,615298499        |
| 6     | A345            | Airbus A-340-500               | CAT-B    | H          | B         | H         | 246000                   | 63,45         | 71,50205761                | 0,843913          | 12450188,4        | 12,45018836        |
| 7     | A346            | Airbus A-340-600               | CAT-B    | H          | B         | H         | 265000                   | 63,45         | 74,07407407                | 0,843913          | 13946003,3        | 13,9460033         |
| 8     | A359            | Airbus A-350-900 XWB           | CAT-B    | H          | B         | H         | 207000                   | 64,75         | 72,01646091                | 0,87448           | 8110822,4         | 8,110822401        |
| 9     | B744            | Boeing 747-400                 | CAT-B    | H          | B         | H         | 285764                   | 64,44         | 78,18930041                | 0,83775           | 15004716          | 15,00471603        |
| 10    | B748            | Boeing 747-8                   | CAT-B    | H          | B         | H         | 312072                   | 68,4          | 74,58847737                | 0,840181          | 16601157,9        | 16,60115791        |
| 11    | B772            | Boeing 777-200                 | CAT-B    | H          | B         | H         | 201800                   | 60,93         | 72,01646091                | 0,841267          | 9048982,2         | 9,048982205        |
| 12    | B773            | Boeing 777-300                 | CAT-B    | H          | B         | H         | 237680                   | 60,93         | 76,64609053                | 0,841267          | 11794633,6        | 11,79463363        |
| 13    | B77L            | Boeing 777-200LR               | CAT-B    | H          | B         | H         | 223168                   | 64,8          | 72,01646091                | 0,843328          | 9760469,29        | 9,76046929         |
| 14    | B77W            | Boeing 777-300ER               | CAT-B    | H          | B         | H         | 251290                   | 64,8          | 76,64609053                | 0,843328          | 11627839,7        | 11,62783971        |
| 15    | B788            | Boeing 787-8                   | CAT-B    | H          | B         | H         | 172365                   | 60,12         | 74,58847737                | 0,839557          | 6560297,64        | 6,560297642        |
| 16    | B789            | Boeing 787-9                   | CAT-B    | H          | B         | H         | 192776                   | 60,12         | 78,7037037                 | 0,839557          | 7776924,4         | 7,7769244          |
| 17    | IL96            | Ilyushin Il-96-300             | CAT-B    | H          | D         | H         | 175000                   | 55,57         | 77,16049383                | 0,835544          | 7688053,43        | 7,68805343         |
| 18    | A306            | Airbus A-300-600               | CAT-C    | H          | C         | H         | 140000                   | 44,84         | 71,50205761                | 0,829161          | 8217745,45        | 8,217745446        |
| 19    | A310            | Airbus A-310                   | CAT-C    | H          | C         | H         | 124000                   | 43,9          | 71,50205761                | 0,826372          | 6748470,74        | 6,748470737        |
| 20    | B703            | Boeing 707-320B                | CAT-C    | H          | D         | H         | 97500                    | 44,42         | 65,8436214                 | 0,845458          | 4325452,99        | 4,325452987        |
| 21    | B752            | Boeing 757-200                 | CAT-C    | M          | E         | UM        | 95250                    | 38,05         | 70,47325103                | 0,842451          | 5275175,61        | 5,275175608        |
| 22    | B753            | Boeing 757-300                 | CAT-C    | M          | E         | UM        | 101610                   | 38,06         | 73,04526749                | 0,84246           | 5788676,61        | 5,788676606        |
| 23    | B762            | Boeing 767-200                 | CAT-C    | H          | C         | H         | 123377                   | 47,57         | 68,41563786                | 0,839657          | 5852346,27        | 5,852346273        |
| 24    | B763            | Boeing 767-300                 | CAT-C    | H          | C         | H         | 136078                   | 47,57         | 72,01646091                | 0,839657          | 6763337,25        | 6,763337245        |
| 25    | B764            | Boeing 767-400ER               | CAT-C    | H          | C         | H         | 158757                   | 51,92         | 77,16049383                | 0,842741          | 7186092,66        | 7,18609266         |
| 26    | C135            | Boeing C-135                   | CAT-C    | H          | D         | UM        | 106600                   | 39,9          | 77,16049383                | 0,832549          | 5553275,82        | 5,553275819        |
| 27    | DC10            | McDonnell Douglas DC-10-30     | CAT-C    | H          | C         | H         | 186427                   | 47,35         | 76,64609053                | 0,831873          | 12151116,5        | 12,15111646        |
| 28    | DC85            | Douglas DC-8-50                | CAT-C    | H          | D         | H         | 98431                    | 43,4          | 70,47325103                | 0,847866          | 4302472,41        | 4,302472415        |
| 29    | IL76            | Ilyushin Il-76                 | CAT-C    | H          | D         | H         | 152000                   | 50,5          | 61,72839506                | 0,840387          | 8728216,76        | 8,728216756        |
| 30    | MD11            | McDonnell Douglas MD-11        | CAT-C    | H          | C         | H         | 195048                   | 51,97         | 81,79012346                | 0,878331          | 9799495,1         | 9,799495099        |
| 31    | A318            | Airbus A-318                   | CAT-D    | M          | F         | LM        | 57500                    | 34,1          | 64,30041152                | 0,833181          | 2652522,2         | 2,652522197        |
| 32    | A319            | Airbus A-319                   | CAT-D    | M          | F         | LM        | 62500                    | 34,1          | 66,87242798                | 0,829596          | 3026375,88        | 3,026375884        |
| 33    | A320            | Airbus A-320                   | CAT-D    | M          | F         | LM        | 66000                    | 35,8          | 70,47325103                | 0,911656          | 2643943,1         | 2,643943103        |
| 34    | A321            | Airbus A-321                   | CAT-D    | M          | F         | LM        | 77800                    | 34,1          | 72,5308642                 | 0,830165          | 4320646,52        | 4,32064652         |
| 35    | AN12            | Antonov An-12                  | CAT-D    | M          | /         | LM        | 58000                    | 38            | 62,75720165                | 0,770438          | 2408091,12        | 2,408091116        |
| 36    | B736            | Boeing 737-600                 | CAT-D    | M          | F         | LM        | 55111                    | 34,32         | 64,81481481                | 0,834203          | 2383534,05        | 2,383534053        |
| 37    | B737            | Boeing 737-700                 | CAT-D    | M          | F         | LM        | 58604                    | 34,32         | 67,90123457                | 0,834203          | 2572740,07        | 2,572740074        |
| 38    | B738            | Boeing 737-800                 | CAT-D    | M          | F         | LM        | 66361                    | 34,32         | 73,04526749                | 0,834203          | 3066569,57        | 3,066569574        |
| 39    | B739            | Boeing 737-900                 | CAT-D    | M          | F         | LM        | 66814                    | 34,32         | 72,5308642                 | 0,834203          | 3130625,77        | 3,13062577         |
| 40    | C130            | Lockheed C-130J                | CAT-D    | M          | F         | LM        | 75000                    | 40,41         | 66,87242798                | 0,834629          | 3084534,03        | 3,084534031        |
| 41    | IL18            | Ilyushin Il-18                 | CAT-D    | M          | /         | LM        | 52600                    | 37,4          | 66,87242798                | 0,843379          | 1752845,41        | 1,752845407        |
| 42    | MD81            | McDonnell Douglas MD-81        | CAT-D    | M          | /         | LM        | 58061                    | 32,85         | 42,45923913                | 0,839681          | 4379225,12        | 4,379225121        |
| 43    | MD82            | McDonnell Douglas MD-82        | CAT-D    | M          | F         | LM        | 58567                    | 32,85         | 69,95884774                | 0,839681          | 2704355,4         | 2,7043554          |
| 44    | MD83            | McDonnell Douglas MD-83        | CAT-D    | M          | F         | LM        | 63276                    | 32,85         | 70,47325103                | 0,839681          | 3133676,67        | 3,133676666        |
| 45    | MD87            | McDonnell Douglas MD-87        | CAT-D    | M          | F         | LM        | 58060                    | 32,85         | 72,01646091                | 0,839681          | 2581800,87        | 2,581800874        |
| 46    | MD88            | McDonnell Douglas MD-88        | CAT-D    | M          | F         | LM        | 58567                    | 32,85         | 66,87242798                | 0,839681          | 2829171,8         | 2,829171803        |
| 47    | MD90            | McDonnell Douglas MD-90        | CAT-D    | M          | F         | LM        | 64410                    | 32,87         | 70,98765432                | 0,839702          | 3219469,72        | 3,219469724        |
| 48    | T204            | Tupolev Tu-204                 | CAT-D    | M          | /         | LM        | 89500                    | 40,3          | 72,01646091                | 0,8968            | 3816754,297       | 3,816754297        |
| 49    | AT43            | ATR-42-300                     | CAT-E    | M          | G         | L         | 16000                    | 24,57         | 61,72839506                | 0,762121          | 450511,724        | 0,450511724        |
| 50    | AT45            | ATR-42-500                     | CAT-E    | M          | /         | S         | 18300                    | 24,57         | 56,58436214                | 0,762121          | 642919,906        | 0,642919906        |
| 51    | AT72            | ATR-72                         | CAT-E    | M          | G         | S         | 22350                    | 27,05         | 61,72839506                | 0,76669           | 720943,083        | 0,720943083        |
| 52    | B712            | Boeing 717-200                 | CAT-E    | M          | F         | LM        | 46269                    | 28,4          | 71,50205761                | 0,834372          | 2223570,21        | 2,223570209        |
| 53    | B732            | Boeing 737-200                 | CAT-E    | M          | F         | LM        | 48534                    | 28,35         | 66,87242798                | 0,826355          | 2650680,89        | 2,650680892        |
| 54    | B733            | Boeing 737-300                 | CAT-E    | M          | F         | LM        | 52889                    | 28,88         | 69,44444444                | 0,827962          | 2915236,11        | 2,915236108        |
| 55    | B734            | Boeing 737-400                 | CAT-E    | M          | F         | LM        | 56245                    | 28,88         | 71,50205761                | 0,827962          | 3202062,71        | 3,202062715        |
| 56    | B735            | Boeing 737-500                 | CAT-E    | M          | F         | LM        | 49895                    | 28,88         | 65,32921811                | 0,827962          | 2757954,68        | 2,757954675        |
| 57    | CL60            | Bombardier Challenger 650      | CAT-E    | M          | G         | S         | 17237                    | 19,6          | 66,87242798                | 0,895555          | 645442,191        | 0,645442191        |
| 58    | CRJ1            | Canadair CRJ-100               | CAT-E    | M          | G         | S         | 20276                    | 21,23         | 69,44444444                | 0,902878          | 727082,356        | 0,727082356        |
| 59    | CRJ2            | Canadair CRJ-200               | CAT-E    | M          | G         | S         | 21319                    | 21,23         | 72,01646091                | 0,902878          | 775101,241        | 0,775101241        |
| 60    | CRJ7            | Canadair CRJ-700               | CAT-E    | M          | G         | S         | 30391                    | 23,25         | 69,44444444                | 0,902315          | 1362805,45        | 1,362805454        |
| 61    | CRJ9            | Canadair CRJ-900               | CAT-E    | M          | G         | S         | 33340                    | 23,24         | 69,44444444                | 0,902996          | 1640291,99        | 1,640291993        |
| 62    | DH8D            | De Havilland DHC-8 Q400        | CAT-E    | M          | F         | S         | 28123                    | 28,4          | 62,24279835                | 0,768027          | 1025194,61        | 1,025194607        |
| 63    | E135            | Embraer ERJ-135                | CAT-E    | M          | G         | S         | 18500                    | 20,04         | 66,87242798                | 0,837542          | 760466,133        | 0,760466133        |
| 64    | E145            | Embraer ERJ-145                | CAT-E    | M          | G         | S         | 18700                    | 20,04         | 69,44444444                | 0,837773          | 748013,451        | 0,748013451        |
| 65    | E170            | Embraer ERJ-170                | CAT-E    | M          | G         | S         | 33300                    | 26            | 66,87242798                | 0,926725          | 1322906,9         | 1,322906902        |
| 66    | E175            | Embraer ERJ-175                | CAT-E    | M          | /         | S         | 34000                    | 26            | 66,87242798                | 0,926725          | 1379109,18        | 1,37910918         |
| 67    | E190            | Embraer ERJ-190                | CAT-E    | M          | F         | LM        | 44000                    | 28,72         | 67,38683128                | 0,9234            | 1885197,02        | 1,885197024        |
| 68    | E195            | Embraer ERJ-195                | CAT-E    | M          | /         | LM        | 45800                    | 28,72         | 69,44444444                | 0,923752          | 1981320,52        | 1,981320518        |
| 69    | F70             | Fokker 70                      | CAT-E    | M          | /         | LM        | 34020                    | 28,076        | 66,35802469                | 0,835732          | 1323192,95        | 1,323192952        |
| 70    | F100            | Fokker 100                     | CAT-E    | M          | /         | LM        | 38780                    | 28,076        | 66,35802469                | 0,835732          | 1719373,27        | 1,719373267        |
| 71    | GLF4            | Gulfstream 4                   | CAT-E    | M          | G         | S         | 26535                    | 23,7          | 72,01646091                | 0,934444          | 930983,479        | 0,930983479        |
| 72    | RJ85            | Avro RJ-85                     | CAT-E    | M          | /         | LM        | 38555                    | 26,21         | 64,30041152                | 0,815803          | 2061645,21        | 2,061645213        |
| 73    | RJ1H            | Avro RJ-100                    | CAT-E    | M          | /         | LM        | 40143                    | 26,21         | 64,30041152                | 0,815803          | 2234972,41        | 2,234972408        |
| 74    | FA10            | Dassault Falcon 10             | CAT-F    | M          | /         | L         | 8000                     | 13,08         | 56,58436214                | 0,825275          | 400363,714        | 0,400363714        |
| 75    | FA20            | Dassault Falcon 20             | CAT-F    | M          | /         | L         | 12580                    | 15,4          | 56,58436214                | 0,816236          | 722093,203        | 0,722093203        |
| 76    | D328            | Domier 328                     | CAT-F    | M          | /         | L         | 14390                    | 20,98         | 56,58436214                | 0,751814          | 552699,622        | 0,552699622        |
| 77    | E120            | Embraer EMB-120                | CAT-F    | M          | H         | L         | 11700                    | 19,78         | 64,81481481                | 0,762111          | 354007,13         | 0,35400713         |
| 78    | BE40            | Beechcraft Beechiet 400A       | CAT-F    | M          | H         | L         | 7120                     | 13,3          | 61,72839506                | 0,816393          | 284222,227        | 0,284222227        |
| 79    | H25B            | Raytheon Hawker 800            | CAT-F    | M          | H         | L         | 10590                    | 16,6          | 64,30041152                | 0,82941           | 381397,519        | 0,381397519        |
| 80    | JS32            | British Aerospace Jetstream 32 | CAT-F    | M          | /         | L         | 7080                     | 15,85         | 59,1563786                 | 0,759903          | 221836,786        | 0,221836786        |
| 81    | JS41            | British Aerospace Jetstream 41 | CAT-F    | M          | /         | L         | 10569                    | 18,42         | 61,72839506                | 0,767484          | 347312,011        | 0,347312011        |
| 82    | LJ35            | Learjet 35                     | CAT-F    | M          | H         | L         | 6940                     | 12,04         | 64,30041152                | 0,809681          | 318950,926        | 0,318950926        |
| 83    | LJ60            | Learjet 60                     | CAT-F    | M          | H         | L         | 8845                     | 13,4          | 72,01646091                | 0,916056          | 330078,682        | 0,330078682        |
| 84    | SF34            | Saab 340                       | CAT-F    | M          | G         | L         | 12930                    | 21,44         | 59,1563786                 | 0,768456          | 399863,773        | 0,399863773        |
| 85    | P180            | Piaggio P-180 Avanti           | CAT-F    | L          | I         | L         | 4965                     | 14,03         | 61,72839506                | 0,811408          | 124963,786        | 0,124963786        |
| 86    | C650            | Cessna 650                     | CAT-F    | M          | /         | L         | 8618                     | 16,31         | 66,87242798                | 0,827866          | 252048,071        | 0,252048071        |
| 87    | CS25            | Cessna 525                     | CAT-F    | L          | I         | L         | 4445                     | 14,3          | 56,58436214                | 0,829715          | 102856,48         | 0,10285648         |
| 88    | C180            | Cessna 180                     | CAT-F    | L          | /         | L         | 1275                     | 11            | 33,43621399                | 0,771108          | 26042,826         | 0,026042826        |
| 89    | C152            | Cessna 152                     | CAT-F    | L          | /         | L         | 760                      | 10,2          | 28,29218107                | 0,767968          | 12770,343         | 0,012770343        |

## Appendix B – Calculation of the Oswald Factor without Input of $C_{D0}$

| Index | Model                          | wing span [m] | fuselage diameter [m] | winglet height [m] | taper ratio [-] | sweep angle [deg] | aspect ratio [-] | k_e_F [-] | k_e_WL [-] | e_theo [-] | Oswald Factor [-] |
|-------|--------------------------------|---------------|-----------------------|--------------------|-----------------|-------------------|------------------|-----------|------------|------------|-------------------|
| 1     | Airbus A-380-800               | 79,75         | 7,14                  | 0                  | 0,225240521     | 30                | 7,79             | 0,983969  | 1          | 0,983773   | 0,845065387       |
| 2     | Antonov An-124                 | 73,3          | 6,4                   | 0                  | 0,286           | 27                | 8,79             | 0,984753  | 1          | 0,979136   | 0,841752911       |
| 3     | Airbus A-330-200               | 60,3          | 5,64                  | 0                  | 0,232954545     | 29,7              | 9,26             | 0,982503  | 1          | 0,980441   | 0,840949446       |
| 4     | Airbus A-330-300               | 60,3          | 5,64                  | 0                  | 0,237689394     | 29,7              | 9,26             | 0,982503  | 1          | 0,980172   | 0,840718659       |
| 5     | Airbus A-340-300               | 60,3          | 5,64                  | 0                  | 0,238095238     | 29,7              | 9,26             | 0,982503  | 1          | 0,980149   | 0,84069844        |
| 6     | Airbus A-340-500               | 63,45         | 5,64                  | 0                  | 0,219211823     | 31,1              | 8,56             | 0,984198  | 1          | 0,982203   | 0,843913405       |
| 7     | Airbus A-340-600               | 63,45         | 5,64                  | 0                  | 0,219211823     | 31,1              | 8,56             | 0,984198  | 1          | 0,982203   | 0,843913405       |
| 8     | Airbus A-350-900 XWB           | 64,75         | 5,96                  | 2,88               | 0,384558278     | 31,9              | 11,84339689      | 0,983055  | 1,0638556  | 0,957801   | 0,874479965       |
| 9     | Boeing 747-400                 | 64,44         | 6,5                   | 0                  | 0,275           | 38                | 7,39             | 0,979651  | 1          | 0,979555   | 0,837749634       |
| 10    | Boeing 747-8                   | 68,4          | 6,5                   | 0                  | 0,221088435     | 38                | 8,644789357      | 0,981939  | 1          | 0,980108   | 0,840180637       |
| 11    | Boeing 777-200                 | 60,93         | 6,2                   | 0                  | 0,149           | 31,6              | 8,67             | 0,979291  | 1          | 0,984028   | 0,841266981       |
| 12    | Boeing 777-300                 | 60,93         | 6,2                   | 0                  | 0,149           | 31,6              | 8,67             | 0,979291  | 1          | 0,984028   | 0,841266981       |
| 13    | Boeing 777-200LR               | 64,8          | 6,2                   | 0                  | 0,149           | 31,6              | 8,67             | 0,981691  | 1          | 0,984028   | 0,843328431       |
| 14    | Boeing 777-300ER               | 64,8          | 6,2                   | 0                  | 0,149           | 31,6              | 8,67             | 0,981691  | 1          | 0,984028   | 0,843328431       |
| 15    | Boeing 787-8                   | 60,12         | 5,77                  | 0                  | 0,18            | 32,2              | 10,58            | 0,981578  | 1          | 0,979741   | 0,839557264       |
| 16    | Boeing 787-9                   | 60,12         | 5,77                  | 0                  | 0,18            | 32,2              | 10,58            | 0,981578  | 1          | 0,979741   | 0,839557264       |
| 17    | Ilyushin Il-96-300             | 55,57         | 6,08                  | 0                  | 0,279           | 30                | 7,89             | 0,976058  | 1          | 0,980572   | 0,835543948       |
| 18    | Airbus A-300-600               | 44,84         | 5,64                  | 0                  | 0,292553191     | 28                | 7,73             | 0,968359  | 1          | 0,980818   | 0,829161302       |
| 19    | Airbus A-310                   | 43,9          | 5,64                  | 0                  | 0,283           | 28                | 8,8              | 0,966989  | 1          | 0,978904   | 0,836372405       |
| 20    | Boeing 707-320B                | 44,42         | 3,76                  | 0                  | 0,259           | 35                | 6,96             | 0,98567   | 1          | 0,982531   | 0,845458322       |
| 21    | Boeing 757-200                 | 38,05         | 3,76                  | 0                  | 0,243           | 25                | 7,82             | 0,98047   | 1          | 0,984229   | 0,842451298       |
| 22    | Boeing 757-300                 | 38,06         | 3,76                  | 0                  | 0,243           | 25                | 7,82             | 0,980481  | 1          | 0,984229   | 0,842460115       |
| 23    | Boeing 767-200                 | 47,57         | 5,03                  | 0                  | 0,207           | 31,5              | 7,99             | 0,977639  | 1          | 0,983806   | 0,83965736        |
| 24    | Boeing 767-300                 | 47,57         | 5,03                  | 0                  | 0,207           | 31,5              | 7,99             | 0,977639  | 1          | 0,983806   | 0,83965736        |
| 25    | Boeing 767-400ER               | 51,92         | 5,03                  | 0                  | 0,207           | 31,5              | 7,99             | 0,981229  | 1          | 0,983806   | 0,84274071        |
| 26    | Boeing C-135                   | 39,9          | 4,2                   | 0                  | 0,35483871      | 36                | 7,044292035      | 0,977839  | 1          | 0,975277   | 0,8342548918      |
| 27    | McDonnell Douglas DC-10-30     | 47,35         | 6,02                  | 0                  | 0,22            | 35                | 6,91             | 0,967672  | 1          | 0,984724   | 0,831872657       |
| 28    | Douglas DC-8-50                | 43,4          | 3,73                  | 0                  | 0,181           | 30                | 7,52             | 0,985227  | 1          | 0,985773   | 0,847861695       |
| 29    | Ilyushin Il-76                 | 50,5          | 4,8                   | 0                  | 0,290322581     | 25                | 8,5              | 0,981931  | 1          | 0,980356   | 0,840386944       |
| 30    | McDonnell Douglas MD-11        | 51,97         | 6                     | 1,93               | 0,239           | 35                | 7,91             | 0,973342  | 1,053179   | 0,981469   | 0,878331364       |
| 31    | Airbus A-318                   | 34,1          | 3,95                  | 0                  | 0,247116969     | 25                | 9,500081699      | 0,973164  | 1          | 0,980707   | 0,843181345       |
| 32    | Airbus A-319                   | 34,1          | 3,95                  | 0                  | 0,247116969     | 25                | 11,6281          | 0,973164  | 1          | 0,976487   | 0,829596102       |
| 33    | Airbus A-320                   | 35,8          | 3,95                  | 2,43               | 0,24            | 25                | 12,8164          | 0,975652  | 1,0982404  | 0,974595   | 0,911655574       |
| 34    | Airbus A-321                   | 34,1          | 3,95                  | 0                  | 0,247116969     | 25                | 11,28941748      | 0,973164  | 1          | 0,977156   | 0,830164642       |
| 35    | Antonov An-12                  | 38            | 3,9                   | 0                  | 0,391304348     | 8,5               | 10,6             | 0,978934  | 1          | 0,978878   | 0,770437997       |
| 36    | Boeing 737-600                 | 34,32         | 3,76                  | 0                  | 0,278           | 25                | 9,44             | 0,975994  | 1          | 0,979062   | 0,834203033       |
| 37    | Boeing 737-700                 | 34,32         | 3,76                  | 0                  | 0,278           | 25                | 9,44             | 0,975994  | 1          | 0,979062   | 0,834203033       |
| 38    | Boeing 737-800                 | 34,32         | 3,76                  | 0                  | 0,278           | 25                | 9,44             | 0,975994  | 1          | 0,979062   | 0,834203033       |
| 39    | Boeing 737-900                 | 34,32         | 3,76                  | 0                  | 0,278           | 25                | 9,44             | 0,975994  | 1          | 0,979062   | 0,834203033       |
| 40    | Lockheed C-130J                | 40,41         | 4,34                  | 0                  | 0,512820513     | 1,5               | 10,07383159      | 0,976931  | 1          | 0,978623   | 0,834629159       |
| 41    | Ilyushin Il-18                 | 37,4          | 3,23                  | 0                  | 0,363636364     | 2,5               | 10               | 0,985083  | 1          | 0,9807     | 0,843379349       |
| 42    | McDonnell Douglas MD-81        | 32,85         | 3,35                  | 0                  | 0,195           | 24,5              | 9,62             | 0,979201  | 1          | 0,982264   | 0,839680721       |
| 43    | McDonnell Douglas MD-82        | 32,85         | 3,35                  | 0                  | 0,195           | 24,5              | 9,62             | 0,979201  | 1          | 0,982264   | 0,839680721       |
| 44    | McDonnell Douglas MD-83        | 32,85         | 3,35                  | 0                  | 0,195           | 24,5              | 9,62             | 0,979201  | 1          | 0,982264   | 0,839680721       |
| 45    | McDonnell Douglas MD-87        | 32,85         | 3,35                  | 0                  | 0,195           | 24,5              | 9,62             | 0,979201  | 1          | 0,982264   | 0,839680721       |
| 46    | McDonnell Douglas MD-88        | 32,85         | 3,35                  | 0                  | 0,195           | 24,5              | 9,62             | 0,979201  | 1          | 0,982264   | 0,839680721       |
| 47    | McDonnell Douglas MD-90        | 32,87         | 3,35                  | 0                  | 0,195           | 24,5              | 9,62             | 0,979226  | 1          | 0,982264   | 0,839702419       |
| 48    | Tupolev Tu-204                 | 40,3          | 4,1                   | 1,91               | 0,228           | 28                | 8,8169924        | 0,979299  | 1,0681106  | 0,982086   | 0,896799558       |
| 49    | ATR-42-300                     | 24,57         | 2,865                 | 0                  | 0,533333333     | 2                 | 11,07678716      | 0,972806  | 1          | 0,97441    | 0,762121046       |
| 50    | ATR-42-500                     | 24,57         | 2,865                 | 0                  | 0,533333333     | 2                 | 11,07678716      | 0,972806  | 1          | 0,97441    | 0,762121046       |
| 51    | ATR-72                         | 27,05         | 2,865                 | 0                  | 0,47            | 3,5               | 11,99512295      | 0,977564  | 1          | 0,975481   | 0,76690243        |
| 52    | Boeing 717-200                 | 28,4          | 3,4                   | 0                  | 0,196           | 24,5              | 8,68             | 0,971335  | 1          | 0,983957   | 0,834371662       |
| 53    | Boeing 737-200                 | 28,35         | 3,76                  | 0                  | 0,266           | 25                | 8,83             | 0,96482   | 1          | 0,981085   | 0,826355442       |
| 54    | Boeing 737-300                 | 28,88         | 3,76                  | 0                  | 0,24            | 25                | 9,17             | 0,966099  | 1          | 0,98169    | 0,827962137       |
| 55    | Boeing 737-400                 | 28,88         | 3,76                  | 0                  | 0,24            | 25                | 9,17             | 0,966099  | 1          | 0,98169    | 0,827962137       |
| 56    | Boeing 737-500                 | 28,88         | 3,76                  | 0                  | 0,24            | 25                | 9,17             | 0,966099  | 1          | 0,98169    | 0,827962137       |
| 57    | Bombardier Challenger 650      | 19,6          | 2,41                  | 1,29               | 0,333333333     | 28                | 8,456086287      | 0,969762  | 1,0951901  | 0,975941   | 0,895554795       |
| 58    | Canadair CRJ-100               | 21,23         | 2,69                  | 1,29               | 0,288           | 24,75             | 7,72             | 0,96789   | 1,0877282  | 0,982354   | 0,902877538       |
| 59    | Canadair CRJ-200               | 21,23         | 2,69                  | 1,29               | 0,288           | 24,75             | 7,72             | 0,96789   | 1,0877282  | 0,982354   | 0,902877538       |
| 60    | Canadair CRJ-700               | 23,25         | 2,69                  | 1,29               | 0,26            | 26,5              | 7,655608271      | 0,973228  | 1,07996    | 0,983381   | 0,902314801       |
| 61    | Canadair CRJ-900               | 23,24         | 2,69                  | 1,29               | 0,25            | 26                | 7,59951597       | 0,973204  | 1,079995   | 0,984114   | 0,902995646       |
| 62    | De Havilland DHC-8             | 28,4          | 2,96                  | 0                  | 0,38            | 3,1               | 12,7822504       | 0,978274  | 1          | 0,976472   | 0,768027156       |
| 63    | Embraer ERJ-135                | 20,04         | 2,28                  | 0                  | 0,25            | 21,5              | 7,8              | 0,974112  | 1          | 0,98488    | 0,837541698       |
| 64    | Embraer ERJ-145                | 20,04         | 2,28                  | 0                  | 0,231           | 22,73             | 7,8              | 0,974112  | 1          | 0,985152   | 0,837772786       |
| 65    | Embraer ERJ-170                | 26            | 3,01                  | 2                  | 0,29            | 22,5              | 8,6              | 0,973195  | 1,1116805  | 0,981198   | 0,926724794       |
| 66    | Embraer ERJ-175                | 26            | 3,01                  | 2                  | 0,29            | 22,5              | 8,6              | 0,973195  | 1,1116805  | 0,981198   | 0,926724794       |
| 67    | Embraer ERJ-190                | 28,72         | 3,01                  | 2                  | 0,27            | 25                | 8,1              | 0,978032  | 1,1008501  | 0,982414   | 0,92340046        |
| 68    | Embraer ERJ-195                | 28,72         | 3,01                  | 2                  | 0,28            | 22,5              | 8,1              | 0,978032  | 1,1008501  | 0,982788   | 0,923751646       |
| 69    | Fokker 70                      | 28,076        | 3,3                   | 0                  | 0,235           | 17,45             | 8,43060723       | 0,97237   | 1          | 0,984513   | 0,835731762       |
| 70    | Fokker 100                     | 28,076        | 3,3                   | 0                  | 0,235           | 17,45             | 8,43060723       | 0,97237   | 1          | 0,984513   | 0,835731762       |
| 71    | Gulfstream 4                   | 23,7          | 2,21                  | 2                  | 0,357142857     | 28                | 6,361155153      | 0,982609  | 1,1228333  | 0,980264   | 0,934443673       |
| 72    | Avro RJ-85                     | 26,21         | 3,56                  | 0                  | 0,356           | 15                | 8,89             | 0,963103  | 1          | 0,98039    | 0,815802583       |
| 73    | Avro RJ-100                    | 26,21         | 3,56                  | 0                  | 0,356           | 15                | 8,89             | 0,963103  | 1          | 0,98039    | 0,815802583       |
| 74    | Dassault Falcon 10             | 13,08         | 1,42                  | 0                  | 0,342857143     | 30                | 7,099020747      | 0,976428  | 1          | 0,978238   | 0,825275092       |
| 75    | Dassault Falcon 20             | 15,4          | 1,98                  | 0                  | 0,386           | 30                | 6,5              | 0,966939  | 1          | 0,977019   | 0,816235622       |
| 76    | Dornier 328                    | 20,98         | 2,17                  | 0                  | 0,7             | 2,5               | 11,00401         | 0,978604  | 1          | 0,955537   | 0,751814008       |
| 77    | Embraer EMB-120                | 19,78         | 2,28                  | 0                  | 0,55            | 3,5               | 9,922607152      | 0,973427  | 1          | 0,973776   | 0,762111018       |
| 78    | Beechcraft Beechjet 400A       | 13,3          | 1,76                  | 0                  | 0,368           | 20                | 7,827            | 0,964977  | 1          | 0,979193   | 0,816392616       |
| 79    | Raytheon Hawker 800            | 16,6          | 1,83                  | 0                  | 0,322           | 20                | 7,057            | 0,975694  | 1          | 0,98388    | 0,829410471       |
| 80    | British Aerospace Jetstream 32 | 15,85         | 1,98                  | 0                  | 0,33            | 0,7               | 10,01684609      | 0,968789  | 1          | 0,975602   | 0,759903114       |
| 81    | British Aerospace Jetstream 41 | 18,42         | 1,98                  | 0                  | 0,347826087     | 1                 | 10,41105861      | 0,976891  | 1          | 0,977163   | 0,767483666       |
| 82    | Learjet 35                     | 12,04         | 1,5                   | 0                  | 0,655172414     | 14                | 6,160713982      | 0,968957  | 1          | 0,967153   | 0,809680598       |
| 83    | Learjet 60                     | 13,4          | 1,81                  | 1,15               | 0,419354839     | 16,5              | 7,631109222      | 0,96351   | 1,1249801  | 0,978155   | 0,916056397       |
| 84    | Saab 340                       | 21,44         | 2,31                  | 0                  | 0,4             | 4,5               | 11,65796602      | 0,976783  | 1          | 0,97851    | 0,768456404       |
| 85    | Piaggio P-180 Avanti           | 14,03         | 1,85                  | 0                  | 0,34            | 1                 | 11,96            | 0,965226  | 1          | 0,972964   | 0,811408196       |
| 86    | Cessna 650                     | 16,31         | 1,5                   | 0                  | 0,344827586     | 26                | 8,94             | 0,983084  | 1          | 0,974666   | 0,82786586        |
| 87    | Cessna 525                     | 14,3          | 1,47                  | 0                  | 0,346153846     | 2                 | 9,1              | 0,978865  | 1          | 0,981052   | 0,829714651       |
| 88    | Cessna 180                     | 11            | 1,07                  | 0                  | 0,64            | 0                 | 7,487623762      | 0,981076  | 1          | 0,977589   | 0,771107946       |
| 89    | Cessna 152                     | 10,2          | 1,02                  | 0                  | 0,692307692     | 0,5               | 6,936            | 0,98      | 1          | 0,974678   | 0,767968195       |

## Appendix C – Calculation of the Oswald Factor with Input of $C_{D0}$

| Index | Model                   | e_theo [-] | k_e_F  | Aspect ratio [-] | S_wet [m <sup>2</sup> ] | S_ref [m <sup>2</sup> ] | C_D_0 [-] | Q       | Oswald Factor M2 [-] |
|-------|-------------------------|------------|--------|------------------|-------------------------|-------------------------|-----------|---------|----------------------|
| 1     | Airbus A-300-600        | 0,980818   | 0,9794 | 7,73             | 1569,11                 | 260                     | 0,018105  | 1,041   | 0,827760132          |
| 2     | Airbus A-310            | 0,978904   | 0,9824 | 8,8              | 1342,81                 | 219                     | 0,018395  | 1,03985 | 0,810965162          |
| 3     | Airbus A-320            | 0,967854   | 0,9809 | 16,329681        | 791,13                  | 122,4                   | 0,01939   | 1,05334 | 0,698642049          |
| 4     | Airbus A-321            | 0,976867   | 0,9735 | 11,43555728      | 874,15                  | 122,4                   | 0,021425  | 1,05154 | 0,744029465          |
| 5     | Airbus A-340-300        | 0,980149   | 0,9842 | 9,26             | 2033,09                 | 363,1                   | 0,016798  | 1,03663 | 0,818110979          |
| 6     | Boeing 737-300          | 0,98169    | 0,9657 | 9,17             | 645,85                  | 105,4                   | 0,018383  | 1,05481 | 0,796146667          |
| 7     | Boeing 757-200          | 0,984229   | 0,9889 | 7,82             | 1113,79                 | 185,25                  | 0,018037  | 1,02741 | 0,836259419          |
| 8     | Boeing 767-300          | 0,983806   | 0,9737 | 7,99             | 1580,69                 | 283,3                   | 0,016739  | 1,04387 | 0,830888593          |
| 9     | Fokker 100              | 0,992049   | 0,9458 | 4,295204278      | 581,47                  | 93,5                    | 0,018657  | 1,06582 | 0,860968907          |
| 10    | McDonnell Douglas MD-11 | 0,981469   | 0,9503 | 7,91             | 2051,8                  | 338,9                   | 0,018163  | 1,0722  | 0,80404312           |
| 11    | McDonnell Douglas MD-87 | 0,982264   | 0,9845 | 9,62             | 742,27                  | 112,3                   | 0,019829  | 1,03413 | 0,79248343           |
| 12    | McDonnell Douglas MD-90 | 0,982264   | 0,9731 | 9,62             | 818,79                  | 112,3                   | 0,021873  | 1,04621 | 0,770765055          |